

Wisconsin Child Care Regulatory System (WISCCRS)

User Guide

Unlicensed Provider Records

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Unlicensed Provider Records

DCF has authority to investigate unlicensed facilities when the complaint allegation indicates that the provider is violating 48.60(1) or 48.65(1).

This user guide explains how to create an unlicensed facility record in WISCCRS. Because WISCCRS has an Application concept, the unlicensed provider records will have application type called 'Unregulated'.

Searching for an Unlicensed Provider Record

When a complaint is received on an unlicensed provider, **conduct a thorough search** in the WISCCRS system. You must conduct a search, **using the main search tab**, including a search of the name and the address. See the [Basics User Guide](#) for further information on WISCCRS search functionality.

Various Unlicensed Provider Scenarios

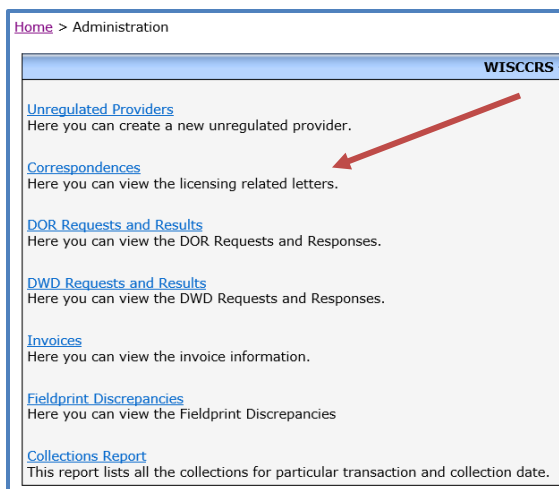
The table below illustrates various scenarios with unlicensed provider records/complaints.

Scenario	WISCCRS procedure		
	New applicant / provider number	New facility / location	New complaint record in existing location / facility
No previous unlicensed history	Yes	Yes	N/A
Previous unlicensed complaint history	No	No	Yes
Unlicensed complaint received - cert/lic history exists in WISCCRS	No	Yes	N/A
Unlicensed history, new lic application (FCC or GCC)	No	No	Start new application under same location / facility.
*Unlicensed history, new camp application	No	Yes	Start a new location.

*Camps were enrolled in YS about a year after FCC and GCC. At that time, WISCCRS was programmed so that camp applications could not be entered if any other type of application exists for the facility.

Unlicensed Providers without Prior History

1. In order to start an unlicensed provider record, click on the Administration link on the WISCCRS Home page. Then click on the Unregulated Providers link. See print below.



2. Complete the Unregulated Applicant Basic Details as best you can. Many of the fields on this screen are not mandatory because often the information received about an unlicensed provider is limited. See print below.

Unregulated Applicant Basic Details	
First Name	<input type="text" value="ulla"/>
Middle Initial	<input type="text"/>
Last Name	<input type="text" value="unlicensed"/>
Business Name	<input type="text"/>
Tax ID Number Type	<input checked="" type="radio"/> N/A <input type="radio"/> SSN <input type="radio"/> FEIN
Tax ID Number	<input type="text"/> (SSN: xxx-xx-xxxx)(FEIN: xx-xxxxxxx)
Additional ID Number Type	<input checked="" type="radio"/> N/A <input type="radio"/> SSN <input type="radio"/> FEIN
Additional SSN/FEIN	<input type="text"/> (SSN: xxx-xx-xxxx)(FEIN: xx-xxxxxxx)
Date Of Birth	<input type="text"/>

The county fields and the facility name fields are required.

Facility/Location Contact Details	
Facility/Location Name	<input type="text" value="ulla unlicensed"/>
Entered County *	<input type="text" value="Milwaukee County"/>
Location County *	<input type="text" value="Milwaukee County"/>

Enter the address for the unlicensed provider.

Facility/Location Address	
Street Number	<input type="text" value="123"/>
Unit	<input type="text"/>
Direction	<input type="text"/>
Street/Rural Rt/Box# *	<input type="text" value="Main"/>
Suffix	<input type="text" value="Street"/>
Quadrant	<input type="text"/>
Apt#	<input type="text"/>
Address Line 2	<input type="text"/>
City *	<input type="text" value="anytown"/>
State *	<input type="text" value="Wisconsin"/>
Zip Code *	<input type="text" value="45454"/>

After processing the above page, the driver flow will take you to the Applicant screen. Complete the screen with available information about the unlicensed provider. **The system will allow you to process the page even if the bolded fields are left blank** (bolded fields for licensed/certified provider records are mandatory).

WISCCRS User Guide – Unlicensed Provider Records

The unlicensed provider record is now complete and the complaint can be entered into WISCCRS. The Applications screen will now display the following:

Provider/Loc Number Not established Facility Number 1122638 Facility Address 654 Side St Anytown, WI 45454		Name Ulla Unlicensed Applicant/Loc Number 1800078118 / 001 Facility Name						
There is no current license or certificate for this location. ⚠️								
Location Details								
654 Side St Anytown, WI 45454 Milwaukee County								
Licensed Applications For This Location 001								
Application Sequence #	Application Begin Date	Application Status	Category	Category Status	Category Begin Date	Category Expiration Date	Category End Date	Option
001	10/26/12	Unregulated						<input type="button" value="Initial"/>

If the unlicensed provider submits an application for licensing, the Initial button can be clicked to start a licensed application (see screen print above). In the scenario below, an unlicensed complaint was received on 6/1/12. On 10/26/12, a license application was started in WISCCRS. The 'Licensed Applications for this Location' now displays the 'unregulated' record but also has a license application pending.

Location Details				
Ben Burrito - Unlicensed 123 Burrito Bch Anytown, WI 45454 Milwaukee County				
Licensed Applications For This Location 0				
Application Sequence #	Application Begin Date	Application Status	Category	Category Status
002	10/26/12	Application Pending		
001	06/01/12	Unregulated		

The system automatically issues a facility number to an unregulated provider location. This enables the provider to be assigned to the licensing specialist. See the [Facility/Location User Guide](#) for more details on the assignment functionality.

Entering an Unlicensed Complaint Record

After the unregulated provider record has been established, the complaint intake and investigation details can be entered by clicking on the Complaint/Incident link in the left-side navigation menu. Choose Unregulated as the Complaint Type. The process is identical to the licensing/certification complaint data entry. See the [Complaints/Incidents User Guide](#) for further information on how to enter complaints into WISCCRS.

New Complaint/Incident	
Regulation Type *	Unregulated
Incident Type *	Complaint
Received Date *	6/25/2018
Received by *	Officemanager-Sero, Ole
Requires Immediate Attention	<input type="checkbox"/>
Status *	Pending
Date of Occurrence	
Time of Occurrence	
Receipt Method *	Telephone
Assigned Date	
Assigned To	
Notify Complainant	<input type="checkbox"/>
Child(ren) Injured?	
Medical Attention Needed?	
Date Complainant Notified	
Date Applicant/Licensee Notified	
Allegations/Incident Description *	Provider is caring for licensable number of children between 3 and 6pm

Unlicensed Providers with Certification / Licensing History

When an unlicensed complaint is filed against a provider who has previous certification or licensing history, WISCCRS allows the region to enter the complaint, however, the system does not allow the facility to be assigned to a licensing specialist. Also, if the provider's address has changed since the provider was licensed or certified, the system does not allow the address to be changed. In these cases, a new facility/location must be created. To start a new unregulated facility, click on the New Location link on the Locations page. See print below:

Applicant Details						
Applicant Number	0800014308	Name	Diana's Diamonds			
Tax ID Number	99-9889898	Tax ID Number Type	FEIN			
Date Of Birth	2/5/1985					
Locations For This Applicant						
Location/ Facility	Address	Regulation	Application Status	Category	Status	Begin Date
001	Diana's Diamonds 999 Diamond Rdg Anytown WI 53333 Milwaukee County 454-545-4545	Certified Family	Application Withdrawn			
New Location 						

Complete the Location Address page.

Provider # 4800036564	Name Diana's Diamonds
Applicant # 0800014308	
Facility/Location Address	
Street Number	<input type="text" value="123"/>
Unit	<input type="text"/>
Direction	<input type="text" value="v"/>
Street/Rural Rt/Box# *	<input type="text" value="diamond"/>
Suffix	<input type="text" value="Ridge"/>
Quadrant	<input type="text" value="v"/>
Apt#	<input type="text"/>
Address Line 2	<input type="text"/>
City *	<input type="text" value="anytown"/>
State *	<input type="text" value="Wisconsin"/>
Zip Code *	<input type="text" value="45454"/>

Complete the following page as follows:

Application Details section:

- The Application Type is a mandatory field. Choose Unregulated from the pull-down menu.
- The Application Materials Received Date is also mandatory. Enter the date the unlicensed complaint was received.
- The address section is auto-filled based on the information entered on the previous screen.

Application Details	
Application Type *	<input type="text" value="Unregulated"/>
Application Mode *	Initial
Application Materials Received Date *	<input type="text" value="9/1/2015"/>
Application Entered Date	9/11/2015
Date Complete Application Received	<input type="text"/>
Date Applicant Determined Fit or Unfit	<input type="text"/>
Date Pre-licensing TA Completed	<input type="text"/>

Facility/Location Contact Details:

- Only the County field is mandatory. Complete the fields as available information allows.

Facility/Location Contact Details	
Facility/Location Name *	Diana Diamond
County *	Milwaukee County
First Name *	diana
Middle Initial	
Last Name *	diamond
Suffix	
E-Mail	
Phone Numbers	
Primary *	

Child Care Center Information Section:

- No data entry required in this section as none of the fields are mandatory for unregulated provider records.

After the above screens are complete, the complaint can be entered and the facility assigned to a licensing specialist. The old certification and the new unregulated records are both under the same provider number.

Applicant Details			
Applicant Number	0800014308	Name	Diana's Diamonds
Tax ID Number	99-9889898	Tax ID Number Type	FEIN
Date Of Birth	2/5/1985		

Locations For This Applicant						
Location/ Facility	Address	Regulation	Application Status	Category	Status	Begin Date
002 1122640	Diana Diamond 123 Diamond Rdg Anytown WI 45454 Milwaukee County	Unregulated	Unregulated			
001	Diana's Diamonds 999 Diamond Rdg Anytown WI 53333 Milwaukee County 454-545-4545	Certified Family	Application Withdrawn			

[New Location](#)

In the future, if additional complaints are received for this provider, use location 002 to record the complaints. If the provider's address would change in the future, the system allows the regional staff to change the address for an unregulated location. The system keeps the history of the address changes.

Previous Unlicensed Complaint History – Licensing Application Received

When a provider with previous unlicensed complaint record applies for a license, continue with the unlicensed facility/location. This only applies to family and group applications. If the application is for a camp, create a new facility record.