

**AGENCY PLAN FOR TITLE IV-E OF THE SOCIAL SECURITY ACT
FOSTER CARE AND ADOPTION ASSISTANCE
STATE/TRIBE OF WISCONSIN**

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES
CHILDREN'S BUREAU
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PLAN FOR TITLE IV-E OF THE SOCIAL SECURITY ACT
FEDERAL PAYMENTS FOR FOSTER CARE AND ADOPTION ASSISTANCE

STATE/TRIBE OF WISCONSIN

As a condition of the receipt of Federal funds under title IV-E of the Social Security Act (hereinafter, the Act), the

Department of Children and Families

(Name of State/Tribal Agency)

submits here a plan for the programs to provide, in appropriate cases, foster care and adoption assistance, and if the State/Tribal agency elects, guardianship assistance, under title IV-E of the Act and hereby agrees to administer the programs in accordance with the provisions of this plan, title IV-E of the Act, and all applicable Federal regulations and other official issuances of the Department.

The official text of laws, regulations and official issuances governs, and the State/Tribal agency acknowledges its responsibility to adhere to them regardless of the fact that, for purposes of simplicity, the specific provisions printed herein are sometimes paraphrases of, or excerpts and incomplete quotations from, the full text. Statutory citations refer to provisions in title IV-E of the Social Security Act. Regulatory citations refer to provisions in 45 CFR Parts 1355 and 1356.

The State/Tribal agency understands that if and when title IV-E is amended or regulations are revised, a new or amended plan for title IV-E that conforms to the revisions must be submitted.

Federal Regulatory/ Statutory References ¹	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	SECTION 1. ORGANIZATION	
471(a)(2)	<p>A. DESIGNATION AND AUTHORITY OF STATE/TRIBAL AGENCY</p> <p>The State/Tribal agency has been designated to administer or supervise the administration of the programs under this plan. (See Attachment II.) It is also the agency that administers or supervises the administration of the Child Welfare Services Plan under subpart 1 of title IV-B of the Act.</p>	<p><i>s. 46.03(7)(a)</i></p> <p><i>s. 48.48(2), (8)</i></p>
	<p>B. STATE/TRIBAL AGENCY STRUCTURE AND FUNCTION</p> <p>The State/Tribal agency has available upon request an organizational chart of the agency and a description of the functions of each of its organizational units</p>	<p>Organizational Chart</p>

¹ Statutory references refer to the Social Security Act. Regulatory references refer to Title 45 of the Code of Federal Regulations (CFR).

Federal Regulatory/ Statutory References¹	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	as they relate to the administration or supervising the administration of the title IV-E foster care maintenance, adoption assistance, and (at IV-E agency option) guardianship assistance programs.	
471(a)(3)	<p>C. STATE OR TRIBAL SERVICE AREA WIDE OPERATIONS</p> <p>The title IV-E plan for foster care, adoption assistance, and guardianship assistance if elected by the State/ Tribal agency, is in effect in all political subdivisions and Tribal service areas.</p> <p>(Tribes, see also section 7)</p>	<p><i>s. 46.206(1)(a)</i></p> <p><i>s. 938.01(1), (2)</i></p>
471(a)(4)	<p>D. COORDINATION WITH TITLES IV-A AND IV-B PROGRAMS</p> <p>The title IV-E program is coordinated at the local level with the programs at the State/Tribal or local level assisted under titles</p>	<p>State and County Contracts for Social Services and Community Programs: https://dcf.wisconsin.gov/w2/partners/contract-resources</p>

Federal Regulatory/ Statutory References ¹	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	IV-A, IV-B and XX of the Act and under all appropriate provisions of Federal law.	
471(a)(17)	<p>E. CHILD SUPPORT ENFORCEMENT FOR CERTAIN CHILDREN IN FOSTER CARE</p> <p>The State/Tribal agency takes all appropriate steps, including cooperative efforts with the State/Tribal agencies administering the plans approved under titles IV-A and -D, to secure an assignment to the State/ Tribe of any rights to support on behalf of each child receiving foster care maintenance payments under title IV-E.</p>	<p><i>s. 46.261(3)</i></p> <p>DCFS Numbered Memo90-28: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/1990-28.pdf</p> <p>DCFS Informational Memo 06-19: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2006-19.pdf</p>
1356.67	<p>F. TRANSFER OF A CHILD TO A TRIBAL AGENCY</p> <p>(Not applicable to Tribes)</p>	

Federal Regulatory/ Statutory References ¹	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>The State agency has established and maintains procedures for the transfer of responsibility for the placement and care of a child under a State title IV-E plan to a Tribal title IV-E agency or an Indian Tribe with a title IV-E agreement. At a minimum, the State agency transfer procedures:</p> <ol style="list-style-type: none"> 1. Are established and maintained in consultation with Indian Tribes; 2. Do not affect a child's eligibility, receipt of services, or payment under title IV-E or the medical assistance program operated under title XIX; 3. Establish eligibility for title IV-E at the time of transfer, if an eligibility determination is not already completed; and 4. Provide for essential documents and information necessary to 	<p><i>s. 48.028 (3)(c)</i></p> <p>ICPC Memo re: Relocation of an Indian Child to a Tribal title IV-E Agency</p> <p>ICPC Form 100T: https://dcf.wisconsin.gov/files/forms/doc/0100t.docx</p> <p><i>s. 48.38(4)(fg)</i> <i>s. 48.38(5)(c)</i></p>

Federal Regulatory/ Statutory References ¹	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>continue a child’s eligibility under title IV-E and Medicaid programs under title XIX to the Tribal title IV-E agency or an Indian Tribe with a title IV-E agreement, including but not limited to the following:</p> <ul style="list-style-type: none"> a) All judicial determinations to the effect that continuation in the home from which the child was removed would be contrary to the welfare of the child and that reasonable efforts described in section 471(a)(15) of the Act have been made; b) Other documentation the State agency has that relates to the child’s title IV-E eligibility under sections 472 and 473 of the Act; c) Information and documentation available to the agency regarding the child’s eligibility or potential 	

Federal Regulatory/ Statutory References¹	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>eligibility for other Federal benefits;</p> <p>d) The case plan developed pursuant to section 475(1) and 475A of the Act, including health and education records of the child pursuant to section 475(1)(C) of the Act; and</p> <p>e) Information and documentation of the child’s placement settings, including a copy of the most recent provider’s license or approval.</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	SECTION 2. FOSTER CARE MAINTENANCE PAYMENTS	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
471(a)(1)	<p>A. ELIGIBILITY</p> <p>(Tribes, also see section 7 of this pre-print)</p> <p>1. Payments are provided for each child:</p>	
472(a)(1)&(2)	<p>a. who meets the requirements of section 406(a) of the Act (as in effect 7/16/96), is removed from the home of a relative specified in section 406(a), and is placed in foster care if:</p> <ul style="list-style-type: none"> i. the removal and foster care placement met, and continues to meet the requirements of paragraph (2) in section 472(a) of the Act; and ii. the child, while in the home, would have met 	<p>IV-E Policy Manual, sections 5.1 and 5.7 (<i>Removal</i>)</p> <p>IV-E Policy Manual, sections 5.8 (<i>AFDC</i>)</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>the Aid to Families with Dependent Children (AFDC) program eligibility requirement of paragraph (3) in section 472(a) of the Act;</p>	
<p>472(a)(2)(A) 1356.21(c)</p>	<p>b. whose removal and foster care placement are in accordance with:</p> <ul style="list-style-type: none"> i. a voluntary placement agreement entered into by the child's parent or legal guardian, who is the relative referred to in paragraph (1) of section 472(a) of the Act; or ii. a judicial determination to the effect that continuation of residence in the home from which removed 	<p><i>s. 48.63</i> <i>s. 48.21(5)(b)1</i></p> <p>DCFS Numbered Memo 06-13: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2006-13.pdf</p> <p>DCFS Numbered Memo 01-15: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2001-15.pdf</p> <p>IV-E Policy Manual, sections 5.6 and 5.4</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>would be contrary to the welfare, or that the placement would be in the best interest, of the child and that reasonable efforts of the type described in section 471(a)(15) for a child were made. The contrary to the welfare determination will be made in the first court ruling that sanctions (even temporarily) the removal of a child from home. If the determination regarding contrary to the welfare is not made in the first court ruling pertaining to removal from the home, the child will not be eligible for title IV-E foster care maintenance payments</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	for the duration of that stay in foster care;	
<p>472(a)(2)(B)&(C)</p> <p>472(a)(2)(B)(i)</p> <p>472(a)(2)(B)(ii)</p>	<p>c. whose placement and care in a foster family home, with a parent residing in a licensed residential family-based treatment facility for substance abuse, but only to the extent permitted under 472(j), or in a child care institution (as defined in section 472(c) of the Act), but only to the extent permitted under 472(k), is the responsibility of either:</p> <ul style="list-style-type: none"> i. the State agency administering the approved title IV-E plan; ii. any other public agency with whom the State/Tribal agency administering or 	<p>Not required until 10/1/18</p> <p><i>Wisconsin requires statutory changes to be in compliance; the state plan will be updated at that time.</i></p> <p>s. 48.48</p> <p>s. 48.57</p> <p>s. 48.61</p> <p>IV-E Policy Manual, section 3.1</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>supervising the administration of the approved title IV-E plan has made an agreement which is still in effect; or</p> <p>iii. a Tribe that has a plan approved under section 471 in accordance with 479B; and</p>	
<p>472(a)(3)(A)(i)</p> <p>472(a)(3)(A)(ii)(I)</p> <p>472(a)(3)(A)(ii)(II)</p> <p>472(a)(3)(B)</p> <p>472(j)(1)</p>	<p>d. who:</p> <p>i. Either:</p> <p>A. received AFDC, in the home referred to in section 472(a)(1), under the State plan approved under section 402 of the Act (as in effect 7/16/96) in or for the month in which either a voluntary placement agreement was entered into or court</p>	<p>IV-E Policy Manual, section 5.8, 5.9 and 5.17</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>proceedings leading to the judicial determination, referred to in section 472(a)(2)(A) of the Act, were initiated;</p> <p>B. would have received AFDC, in the home, in or for such month referred to in the above clause if application for such aid had been made; or</p> <p>C. had been living with a relative specified in section 406(a) of the Act (as in effect 7/16/96) within six months prior to the month in which a voluntary placement agreement was entered into or court proceedings leading to the judicial determination, referred to in section 472(a)(2)(A) of</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>the Act, were initiated, and would have received AFDC in or for such month if the child had been living in the home with such relative and an application had been made for AFDC under title IV-A of the Act; and</p> <p>ii. had resources (determined under section 402(a)(7)(B) of the Act as in effect 7/16/96) that had a combined value of not more than \$10,000 consistent with section 472(a)(3)(B) of the Act; or</p> <p>iii. Is not required to meet the AFDC requirements in 472(a)(3) of the Act because the child is</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>placed with a parent residing in a licensed residential family-based substance abuse treatment facility (Tribes, see section 7 for related requirements in section 479B(c)(1)(C)(ii)(II) of the Act.)</p>	
1356.21(k)	<p>2. Removal.</p> <p>a. For the purposes of meeting the requirements of section 472(a)(2)(A)(1) of the Act, a removal from the home must occur pursuant to:</p> <p>i. a voluntary placement agreement entered into by a parent or relative which leads to a physical or constructive removal (i.e., a non-</p>	<p><i>s. 48.21(5)(b)1</i></p> <p><i>s. 48.63(1)</i></p> <p><i>S. 48.355</i></p> <p>IV-E Policy Manual sections 5.4, 5.6, 5.14</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>physical or paper removal of custody) of the child from the home; or</p> <p>ii. a judicial order for a physical or constructive removal of the child from a parent or specified relative.</p>	
1356.21(k)	b. A removal has not occurred in situations where legal custody is removed from the parent or relative and the child remains with the same relative in that home under supervision by the State/Tribal agency.	IV-E Policy Manual section 5.14
1356.21(k)	c. A child is considered constructively removed on the date of the first judicial order removing custody, even temporarily, from the appropriate specified relative or the date that the	IV-E Policy Manual section 5.14

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	voluntary placement agreement is signed by all relevant parties.	
1356.21(l)	3. Living with a specified relative. For purposes of meeting the requirements for living with a specified relative prior to removal from the home under section 472(a)(2)(A) of the Act and all of the conditions under section 472(a)(3)(A), one of the two following situations will apply:	
1356.21(l)	a. the child was living with the parent or specified relative, and was AFDC eligible in that home in the month of the voluntary placement agreement or initiation of court proceedings; or	IV-E Policy Manual, section 5.14

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
1356.21(l)	<p>b. the child had been living with the parent or specified relative within six months of the month of the voluntary placement agreement or the initiation of court proceedings, and the child would have been AFDC eligible in that month if s/he had still been living in that home.</p>	IV-E Policy Manual, section 5.10
472(f)	<p>B. VOLUNTARY PLACEMENT AGREEMENTS (To be completed if the agency uses VPAs with parents or with youth over age 18)</p> <p>1. Foster care maintenance payments are made in the voluntary placement of a child out of the home by or with the participation of the State/Tribal agency only if:</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
1356.22(a) 472(d)	a. the State/Tribe has fulfilled all of the requirements of section 472 of the Act; sections 422(b)(8) and 475(5) of the Act; and 45 CFR 1356.21(e), (f), (g), (h) and (i) of the Act; and	IV-E Policy Manual, section 5.6
472(f)(1)	b. the assistance of the State/Tribal agency has been requested by the child's parent(s) or legal guardian(s); and	s. 48.63(1) IV-E Policy Manual, section 5.6
472(f)(2)	c. there is a written voluntary placement agreement, binding on all parties to the agreement, which specifies at a minimum the legal status of the child and the rights and obligations of the parents or guardians, the child and the State/Tribal	s. 48.63(1) IV-E Policy Manual, section 5.6 DCF VPA Forms https://dcf.wisconsin.gov/forms

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	agency while the child is in placement.	
1356.22(b) 472(e)	2. Federal financial participation is claimed only for voluntary foster care maintenance expenditures made within the first 180 days of the child's placement in foster care unless there has been a judicial determination by a court of competent jurisdiction, within the first 180 days of the date of such placement, to the effect that the continued voluntary placement is in the best interests of the child.	s. 48.63(1) IV-E Policy Manual, section 5.6
1356.22(c) 472(g)(1)&(2)	3. The State/Tribal agency has established a uniform procedure or system, consistent with State/Tribal law, for revocation by the parent(s) of a voluntary	s. 48.63(1) IV-E Policy Manual, section 5.6

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	placement agreement and return of the child.	
1355.20(a) 475(4)(A)	<p>C. PAYMENTS</p> <p>1. Foster care maintenance payments for a child in foster care may cover the cost of (and the cost of providing) food, clothing, shelter, daily supervision, school supplies, a child's personal incidentals, liability insurance with respect to the child, and reasonable travel to the child's home for visitation with family, or other caretakers and reasonable travel for the child to remain in the school in which the child is enrolled at the time of placement. Local travel associated with providing the items listed above is also an allowable expense. In the case of child care institutions,</p>	<p>s.48.62(4)</p> <p>DSP Numbered Memo 12-11: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2012-11.pdf</p> <p>Uniform Foster Care Rate Setting Policy: https://dcf.wisconsin.gov/files/publications/pdf/0042.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	such term must include the reasonable costs of administration and operation of such institutions as are necessarily required to provide the items described in the preceding sentences.	
472(b)(1)&(2) 472(k)	<p>2. Foster care maintenance payments are made only on behalf of an eligible child who is:</p> <p>a. in the foster family home of an individual or family, whether the payments are made to such individual or to a public or private child placement or child care agency; or</p> <p>b. in a child care institution, whether the payments are made to such institution or to a public or private child placement or child-care</p>	<p><i>s.48.62(4)</i></p> <p>DSP Numbered Memo 12-11: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2012-11.pdf</p> <p>Uniform Foster Care Rate Setting Policy: https://dcf.wisconsin.gov/files/publications/pdf/0042.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>agency. Such payments are limited to include only those items that are included in the term "foster care maintenance payments" (defined in section 475(4) of the Act). Title IV-E agencies may claim for title IV-E foster care maintenance payments paid on behalf of an eligible child placed in a child care institution for up to two weeks. Title IV-E agencies may continue to claim administrative costs for the duration of the period in the CCI regardless of whether the CCI meets the restrictions in section 472(k) of the Act. After two weeks, title IV-E FCMP for a child placed in a CCI are only available if that CCI is a:</p> <p>i. "qualified residential treatment program" (QRTP),</p>	<p>Not required until 10/1/19 unless the title IV-E agency is implementing a delayed effective date, during which title IV-E agency will not claim FFP for title IV-E prevention services under section 474(a)(6) of the Act</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>as defined in section 472(k)(4) of the Act and subject to additional requirements described below;</p> <ul style="list-style-type: none"> iii. a setting specializing in providing prenatal, post-partum, or parenting supports for youth; iv. in the case of a youth who has attained 18 years of age, a supervised setting in which the youth is living independently; v. a setting providing high-quality residential care and supportive services to children and youth who have been found to be, or are at 	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>risk of becoming, sex trafficking victims; or</p> <p>vi. a licensed residential family-based treatment facility for substance abuse (subject to additional requirements per section 472(j) of the Act).</p>	
472(i)(1)	<p>3. Administrative costs associated with an otherwise eligible child who is in an unallowable facility or an unapproved or unlicensed relative home, and who is removed in accordance with section 472(a) from the home of a relative specified in section 406(a) (as in effect on July 16, 1996), shall be considered only for expenditures:</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
472(i)(1)(A)	a. for a period of not more than the lesser of 12 months or the average length of time it takes to license or approve a home as a foster home, in which the child is in the home of a relative and an application is pending for licensing or approval of the home as a foster family home; or	IV-E Policy Manual, section 6.2
472(i)(1)(B)	b. for a period of not more than 1 calendar month when a child moves from a facility not eligible for payments under this part into a foster family home or child care institution licensed or approved by the State/Tribe.	IV-E Policy Manual, section 6.2
472(i)(2)	4. Administrative costs associated with a child who is potentially eligible for benefits under the approved title IV-E plan and at	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	imminent risk of removal from the home, shall be considered for expenditures only if:	
472(i)(2)(A)	a. reasonable efforts are being made in accordance with section 471(a)(15) to prevent the need for, or if necessary to pursue, removal of the child from the home; and	IV-E Policy Manual, section 11.1
472(i)(2)(B)	b. the State/Tribal agency has made, not less often than every 6 months, a determination (or redetermination) as to whether the child remains at imminent risk of removal from the home.	IV-E Policy Manual, section 11.1
1356.21(j) 475(4)(B)	5. Child of a minor parent in foster care. Foster care maintenance payments made	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>on behalf of a child placed in a foster family home or child care institution, who is the parent of a son or daughter in the same home or institution, must include amounts which are necessary to cover costs incurred on behalf of the child's son or daughter. Said costs must be limited to funds expended on those items described in the definition of foster care maintenance payments.</p>	<p>Uniform Foster Care Rate Setting Policy: https://dcf.wisconsin.gov/files/publications/pdf/0042.pdf</p> <p>IV-E Policy Manual, section 8.0</p>
<p>471(a)(16) 475(1) 475(5)(A), (D) and (H) 475A 1356.21(g)</p>	<p>D. CASE REVIEW SYSTEM</p> <p>1. Case Plan</p> <p>To meet the case plan requirements of sections 471(a)(16), 475(1), 475(5)(A), (D) and (H), and 475A of the Act, the State/Tribal agency has promulgated policy materials and instructions for use by State/Tribe and local agency</p>	<p><i>s. 48.38</i> <i>s. 48.385</i> <i>s. 48.38(5)(c)</i> <i>s. 48.38(4)(fg)</i></p> <p>DSP Numbered Memo 10-14: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2010-14.pdf DCFS Informational Memo 05-11: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2005-11.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	staff to determine the appropriateness of and necessity for the foster care placement of the child. The case plan for each child:	<p>DSP Numbered Memo 13-02: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2013-02.pdf</p> <p>Wisconsin Ongoing Services Standards: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/ongoing-services-standards.pdf</p>
1356.21(g)(1)	a. is a written document which is a discrete part of the case record, in a format determined by the State/Tribe agency, which is developed jointly with the parent(s) or guardian(s) of the child in foster care;	<p>Wisconsin Ongoing Services Standards: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/ongoing-services-standards.pdf</p>
1356.21(g)(2)	b. is developed within a reasonable period, to be established by the State/Tribe agency, but in no event later than 60 days from the child's removal from the home;	<p>s. 48.38(3)</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
1356.21(g)(4)	c. includes a description of the services offered and provided to prevent removal of the child from the home and to reunify the family;	<i>s. 48.38(4)ar</i> DCFS Informational Memo 05-11: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2005-11.pdf
475(1)(A)	d. includes a description of the type of home or institution in which the child is placed;	<i>s. 48.38(4)(c)</i>
475(1)(A)	e. includes a discussion of the safety and appropriateness of the placement and how the responsible agency plans to carry out the judicial determination made with respect to the child in accordance with section 472(a)(2)(A) of the Act;	<i>s. 48.38(4)(e)</i> <i>s. 48.38(4)(f)</i>
475(1)(B)	f. includes a plan for assuring that the child receives safe and proper care, and services are provided to the	<i>s. 48.38(4)(ar), (e), (f), (g)</i>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	parent(s), child and foster parents in order to improve the conditions in the parents' home to facilitate the child's return to his/her own safe home or the permanent placement of the child;	
475(1)(B)	g. includes a plan for assuring that services are provided to the child and foster parents in order to address the needs of the child while in foster care;	<i>s. 48.38(4)(ar),(e), (f), (g)</i>
475(1)(B)	h. includes a discussion of the appropriateness of the services that have been provided to the child under the plan;	<i>s. 48.38(4)(e)</i>
475(1)(D) 475(1)(B)	i. where appropriate for a child 14 or over: includes a written description of the programs and services which will help such child prepare	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>for the transition from foster care to successful adulthood. With respect to a child who has attained 14 years of age, any revision or addition to the plan must be developed in consultation with the child and, at the option of the child, with up to 2 members of the case planning team who are chosen by the child and who are not a foster parent of, or caseworker for, the child. A State/Tribal agency may reject an individual selected by a child to be a member of the case planning team at any time if the agency has good cause to believe that the individual would not act in the best interests of the child. One individual selected by a child to be a member of the child's case planning team may be designated to be the child's</p>	<p><i>s. 48.38(4)(h)</i></p> <p><i>s. 48.38 (2m)</i></p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	advisor and as necessary, advocate, with respect to the application of the reasonable and prudent parent standard to the child;	
475(5)(H)	j. during the 90-day period immediately prior to the date on which the child will attain 18 years of age, or such greater age as the State/Tribal agency may elect under section 475(8)(B)(iii), whether during that period foster care maintenance payments are being made on the child's behalf or the child is receiving benefits or services under section 477, a caseworker on the staff of the State/Tribal agency, and, as appropriate, other representatives of the child provide the child with assistance and support in	<p><i>s. 48.38</i></p> <p><i>s. 48.385</i></p> <p><i>Transition plan:</i> DSP Numbered Memo 2010-14:IL Transition to Discharge Policy, p. 2 and Appendix A: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2010-14.pdf</p> <p><i>Extension to 21:</i> DSP Numbered Memo 2014-06, Att. "Extension of Out of Home Care Policy", p.6: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2014-06.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>developing a transition plan that is personalized at the direction of the child, includes specific options on housing, health insurance, education, local opportunities for mentors and continuing support services, and work force supports and employment services, includes information about the importance of designating another individual to make health care treatment decisions on behalf of the child if the child becomes unable to participate in such decisions and the child does not have, or does not want, a relative who would otherwise be authorized under State/Tribal law to make such decisions, and provides the child with the option to execute a health care power of</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	attorney, health care proxy, or other similar document recognized under State/Tribal law, and is as detailed as the child may elect;	
1356.21(g)(5) 475(1)(E) 475(5)(E) 475A	k. documents the steps to finalize a placement when the case plan goal is or becomes adoption or placement in another permanent home in accordance with sections 475(1)(E), (5)(E), and 475A(a)(1) of the Act. When the case plan goal is adoption, at a minimum such documentation shall include child-specific recruitment efforts such as the use of Tribal, State, regional, and national adoption exchanges including electronic exchange systems to facilitate orderly and timely in-State/Tribal service area and inter-	<i>s. 48.825</i> <i>s. 48.38(5)(c)</i>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	State/Tribal service area placements;	
475(1)(F)	<p>I. For a child with respect to whom the permanency plan is placement with a relative and receipt of kinship guardian assistance payments, the State/Tribal agency shall include in the case plan a description of:</p> <ul style="list-style-type: none"> i. the steps that the agency has taken to determine that it is not appropriate for the child to be returned home or adopted; ii. the reasons for any separation of siblings during placement; iii. the reasons why a permanent placement with a fit and willing relative through a kinship 	<p>DSP Numbered Memo 13-02: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2013-02.pdf</p> <p>DSP Numbered Memo 16-06: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2016-06.pdf</p> <p>Wisconsin Ongoing Services Standards: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/ongoing-services-standards.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>guardianship assistance arrangement is in the child's best interests;</p> <p>iv. the ways in which the child meets the eligibility requirements for a kinship guardianship assistance payment;</p> <p>v. the efforts the State/Tribal agency has made to discuss adoption by the child's relative foster parent as a more permanent alternative to legal guardianship and, in the case of a relative foster parent who has chosen not to pursue adoption, documentation of the reasons; and</p> <p>vi. the efforts made by the State/Tribal agency to discuss with the child's parent or parents the kinship</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>guardianship assistance arrangement, or the reasons why the efforts were not made.</p> <p>(See also Section 6 Guardianship Assistance Program Case Plan Requirements.)</p>	
<p>1356.21(g)(3) 475(5)(A)</p>	<p>m. includes a discussion of how the case plan is designed to achieve a safe placement for the child in the least restrictive (most family-like) setting available and in close proximity to the home of the parent(s) when the case plan goal is reunification and a discussion of how the placement is consistent with the best interests and special needs of the child;</p>	<p><i>s. 48.38(4)(d)</i></p>
<p>475(5)(A)(i)</p>	<p>n. if the child has been placed in a foster family</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	home or child-care institution a substantial distance from the home of the parent(s), in a different State, or outside of the Tribal service area, sets forth the reasons why such a placement is in the best interests of the child;	<i>s. 48.358(4)(d)</i>
475(5)(A)(ii)	o. if the child has been placed in foster care in a State or Tribal service area outside the State or Tribal service area in which the child's parent(s) are located, assures that an agency caseworker on the staff of the State or Tribal agency of the State or Tribal service area in which the home of the parents of the child is located, of the State or Tribal service area in which the child has been placed, or of a private agency under	<i>s. 48.988(15)</i> <i>s. 48.989(4)</i> Form ICPC 100A: https://dcf.wisconsin.gov/files/forms/doc/0100a.docx DSP Numbered Memo 2017-20: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2017-20.pdf

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>contract with either such State/Tribal agency, visits the child in such foster home or institution no less frequently than every 6 months and submits a report on the visit to the State or Tribal agency of the State or Tribal service area where the home of the child's parent(s) is located;</p>	
475(1)(G)	<p>p. a plan for ensuring the educational stability of the child while in foster care, including--</p> <p>i. assurances that each placement of the child in foster care takes into account the appropriateness of the current educational setting and the proximity to the school in which the child is enrolled at the time of placement; and</p>	<p><i>s. 48.38(4)(dg) and (dm)</i> <i>s. 48.33(1)(e)</i></p> <p>Wisconsin Ongoing Services Standards, pp. 152-153: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/ongoing-services-standards.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>ii. an assurance that the State/Tribal agency has coordinated with appropriate local educational agencies (as defined under section 9101 of the Elementary and Secondary Education Act of 1965) to ensure that the child remains in the school in which the child is enrolled at the time of each placement; or</p> <p>iii. if remaining in such school is not in the best interests of the child, assurances by the State/Tribal agency and the local educational agencies to provide immediate and appropriate enrollment in a new school, with all of the educational records of the child provided to the school; and</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
475(1)(C)	<p>q. incorporates the health and education records of the child including the most recent information available regarding:</p> <ul style="list-style-type: none"> i. the names and addresses of the child's health and educational providers; ii. the child's grade level performance; iii. the child's school record; iv. a record of the child's immunizations; v. the child's known medical problems; vi. the child's medications; and vii. any other relevant health and education information concerning the child determined to 	<p><i>s. 48.38(4)(dg)1-4</i></p> <p><i>s. 48.38(4)(dr)1-4</i></p> <p>DCF Numbered Memo 13-02: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2013-02.pdf</p> <p>Wisconsin Ongoing Services Standards: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/ongoing-services-standards.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	be appropriate by the State/Tribal agency.	
1356.21(f)	<p>2. Case Review</p> <p>The State/Tribal agency has a case review system which meets the requirements of sections 475(5), 475(6) and 475A of the Act and assures that:</p>	
<p>475(5)(B)</p> <p>475A(c)(4)</p>	<p>a. a review of each child's status is made no less frequently than once every six months either by a court or by an administrative review to:</p> <p>i. determine the safety of the child, the continuing need for and appropriateness of the placement;</p>	<p><i>s. 48.38(5)(a)</i> <i>s. 48.38(5)(c)1.</i> <i>s. 48.38(5)(c)2.</i> <i>s. 48.38(5)(c)3.</i> <i>s. 48.38(5)(c)4.</i> <i>s. 48.38(5)(bm)3.</i></p> <p>DCFS Informational Memo 05-13i: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2005-13i.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<ul style="list-style-type: none"> ii. determine the extent of compliance with the case plan; iii. determine the extent of progress made toward alleviating or mitigating the causes necessitating the placement; and iv. project a likely date by which the child may be returned and safely maintained at home or placed for adoption or legal guardianship; and <u>v.</u> for a child for whom another planned permanent living arrangement has been determined as the permanency plan, determine the steps the state/tribal agency is taking to ensure the child's foster family home or child care 	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>institution is following the reasonable and prudent parent standard and to ascertain whether the child has regular, ongoing opportunities to engage in age or developmentally appropriate activities; and</p> <p><u>-vi.</u> for a child placed in a qualified residential treatment program, evidence -</p> <p>demonstrating that ongoing assessment of the strengths and needs of the child continues to support the determination that the needs of the child cannot be met through placement in a foster family home, that the</p>	<p>Not required until 10/1/19 unless the title IV-E agency is implementing a delayed effective date, during which time, the title IV-E agency will not claim FFP for title IV-E prevention services under section 474(a)(6) of the Act</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>placement in a qualified residential treatment program provides the most effective and appropriate level of care for the child in the least restrictive environment, and that the placement is consistent with the short- and long-term goals for the child, as specified in the permanency plan for the child;</p> <p>documenting the specific treatment or service needs that will be met for the child in the placement and the length of time the child is expected to need the</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>treatment or services; and</p> <p>documenting the efforts made by the State/Tribal agency to prepare the child to return home or to be placed with a fit and willing relative, a legal guardian, or an adoptive parent, or in a foster family home.</p> <p>In the case of any child who is placed in a qualified residential treatment program for more than 12 consecutive months or 18 nonconsecutive months (or, in the case of a child who has not attained age 13, for more than 6 consecutive or nonconsecutive months), the State/Tribal agency shall maintain for the Secretary's</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	inspection/review the most recent versions of the evidence and documentation specified at the review hearing and the signed approval of the head of the State/Tribal agency for the continued placement of the child in that setting.	
475(6)	<p>b. if an administrative review is conducted, the following requirements will be met:</p> <ul style="list-style-type: none"> i. the review will be open to the participation of the parents of the child; and ii. the review will be conducted by a panel of appropriate persons, at least one of whom is not responsible for the case management of, or delivery of services to either the child or 	<p><i>s. 48.38(5)(b)</i> <i>s. 48.38(5)(ag)</i></p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	the parents who are the subject of the review.	
1356.21(h) 475(5)(C)	<p>3. Permanency Hearing</p> <p>a. To meet the requirements of the permanency hearing, the State/Tribe holds permanency hearings for all children under the responsibility for placement and care of the title IV-E/IV-B agency, including children for whom the State/Tribal agency claims Federal reimbursement for the costs of voluntary foster care maintenance payments.</p>	<p><i>s. 48.38(5m)</i> <i>s. 48.365</i> <i>s. 48.63(4)</i></p>
1356.21(h) 475(5)(C)	b. The permanency hearing takes place within 12 months of the date the child is considered to have entered foster care (as defined within	<p><i>s. 48.38(5m)</i> <i>s. 48.365</i> <i>s. 48.63(4)</i></p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	the meaning of 475(5)(F)) and not less frequently than every 12 months thereafter during the continuation of foster care.	
1356.21(h)(2) 471(a)(15)(E)(i)	c. When a court determines that reasonable efforts to return the child home are not required, a permanency hearing is held within 30 days of that determination, unless the requirements of the permanency hearing are fulfilled at the hearing in which the court determines that reasonable efforts to reunify the child and family are not required.	<i>s. 48.355(2d)(c)1</i>
1356.21(b)(3) 475(5)(C) 471(a)(15)(E)(i) 475A(c)(4)	d. For the purposes of this requirement, a permanency hearing shall determine: i. the permanency plan for the child that	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>includes whether, and if applicable when, the child will be returned to the parent, or placed for adoption and the State/Tribe will file a petition for termination of parental rights, or referred to legal guardianship, or only in the case of a child who has attained 16 years of age (in cases where the State/Tribal agency has documented to the court a compelling reason for determining, as of the date of the hearing, that it would not be in the best interest of the child to return home, be referred for termination of parental rights, or be placed for adoption, with a fit and willing</p>	<p><i>s. 48.38(4)(fg)</i> <i>s. 48.38(4)(fm)</i> <i>s. 48.38(5)(c)</i> <i>s. 48.38(5)(c)7.</i> <i>s. 48.38.(5)(c)8m</i> <i>s. 48.38(4)(h)</i> <i>s. 48.38(5)(c)9.</i> <i>s. 48.38(2m)</i></p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>relative, or with a legal guardian) placed in another planned permanent living arrangement subject to section 475A(a) of the Act;</p> <p>ii. in the case of a child who will not be returned to the parent, the hearing shall consider in-State/Tribal service area and out-of-State/Tribal service area placement options;</p> <p>iii. in the case of a child placed out of the State/Tribal service area in which the home of the parent(s) of the child is located, the hearing shall determine whether the out-of-State/Tribal service area placement</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>continues to be appropriate and in the best interests of the child;</p> <p>iv. in the case of a child who has attained age 14, the services needed to assist the child to make the transition from foster care to successful adulthood;</p> <p>v. in any permanency hearing held with respect to the child, including any hearing regarding the transition of the child from foster care to successful adulthood, procedural safeguards shall be applied to assure the court or administrative body conducting the hearing consults, in an age-appropriate</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>manner, with the child regarding the proposed permanency or transition plan for the child; and</p> <p>vi. if a child has attained 14 years of age, the permanency plan developed for the child, and any revision or addition to the plan, shall be developed in consultation with the child and, at the option of the child, with not more than 2 members of the permanency planning team who are selected by the child and who are not a foster parent of, or caseworker for, the child, except that the state or tribal agency may reject an individual so selected</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>by the child if the agency has good cause to believe that the individual would not act in the best interests of the child, and 1 individual so selected by the child may be designated to be the child’s advisor and, as necessary, advocate, with respect to the application of the reasonable and prudent standard to the child; and vii. for a child placed in a qualified residential treatment program, evidence - demonstrating that ongoing assessment of the strengths and needs of the child continues to support</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>the determination that the needs of the child cannot be met through placement in a foster family home, that the placement in a qualified residential treatment program provides the most effective and appropriate level of care for the child in the least restrictive environment, and that the placement is consistent with the short- and long-term goals for the child, as specified in the permanency plan for the child;</p> <p>documenting the specific treatment or service needs that will be met for the child in</p>	<p>Not required until 10/1/19 unless the title IV-E agency is implementing a delayed effective date, during which time, the title IV-E agency will not claim FFP for title IV-E prevention services under section 474(a)(6) of the Act</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>the placement and the length of time the child is expected to need the treatment or services; and</p> <p>documenting the efforts made by the State/Tribal agency to prepare the child to return home or to be placed with a fit and willing relative, a legal guardian, or an adoptive parent, or in a foster family home.</p> <p>In the case of any child who is placed in a qualified residential treatment program for more than 12 consecutive months or 18 nonconsecutive months (or, in the case of a child who has not</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>attained age 13, for more than 6 consecutive or nonconsecutive months), the State/Tribal agency shall submit to the Secretary the most recent versions of the evidence and documentation specified at the permanency hearing and the signed approval of the head of the State/Tribal agency for the continued placement of the child in that setting.</p>	
475(5)(C)	<p>e. Procedural safeguards are also to be applied with respect to parental rights pertaining to the removal of the child from the home of his/her parents, to a change in the child's placement, and</p>	<p><i>s. 48.355(1)</i> <i>s. 48.355(2)(b)6</i> <i>s. 48.355(3)</i> <i>s. 48.357</i></p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	to any determination affecting visitation privileges of parents.	
1356.21(h)(3)	f. If the State/Tribal agency concludes, after considering reunification, adoption, legal guardianship, or permanent placement with a fit and willing relative, that the most appropriate permanency plan for a child is placement in another planned permanent living arrangement, the State/Tribal agency will document to the court the compelling reason for the alternate plan in accordance with 475A.	<i>s. 48.38(4)(fg) 1,2,3,4,5</i>
1356.21(h)(4)	g. When an administrative body, appointed or approved by the court, conducts the permanency hearing, the procedural safeguards set forth in the definition of	<i>s. 48.38(5)(ag)</i>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>permanency hearing will be extended by the administrative body.</p>	
<p>475(5)(D)</p>	<p>4. Health and Education Records</p> <p>a. A child's health and education records are reviewed and updated, and a copy of the record is supplied to the foster parent or foster care provider with whom the child is placed, at the time of each placement of the child in foster care.</p> <p>b. The child's health and education records are supplied to the child at no cost at the time the child leaves foster care if the child is leaving foster care by reason of having attained the age of majority under State/Tribe law.</p>	<p>s. 48.371</p> <p>DSP Numbered Memo 16-07: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2016-07.pdf</p> <p>DCF 37.04</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
1356.21(o) 475(5)(G)	<p>5. Notice</p> <p>The State/Tribe provides the foster parent(s) of a child and any pre-adoptive parent or relative providing care for the child with timely notice of and a right to be heard in any proceeding to be held with respect to the child during the time the child is in the care of such foster parent, pre-adoptive parent, or relative caregiver. Notice of and a right to be heard does not require the State/Tribe to make the caregiver a party to the proceeding.</p>	<p><i>s. 48.357(2r)</i> <i>s. 48.363(1)(b)</i> <i>s. 48.365(2)</i> <i>s. 48.38(5)(b)</i></p>
475(5)(I)	<p>6. Annual Credit Reports</p> <p>Each child in foster care under the responsibility of the State/Tribal agency who</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>has attained 14 years of age receives without cost a copy of any consumer report (as defined in section 603(d) of the Fair Credit Reporting Act) pertaining to the child each year until the child is discharged from care, and receives assistance (including, when feasible, from any court-appointed advocate for the child) in interpreting and resolving any inaccuracies in the report.</p>	<p>DSP Numbered Memo 13-04: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2013-04.pdf</p>
475(5)(l)	<p>7. Official Documents.</p> <p>Each child leaving foster care by reason of having attained 18 years of age or such greater age as the State has elected under 475(8), unless the child has been in foster care for less than 6 months, must be discharged from</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>care with an official or certified copy of the United States birth certificate of the child, a social security card issued by the Commissioner of Social Security, health insurance information, a copy of the child's medical records, and a driver's license or identification card issued in accordance with the requirements of section 202 of the REAL ID Act of 2005, and any official documentation necessary to prove that the child was previously in foster care.</p>	<p>DSP Numbered Series 2016-02 <i>(Handbook for Youth in Foster Care pg 8):</i> https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2016-02.pdf</p>
<p>472(h)(1) 473(b)(1) & (b)(2)</p>	<p>E. MEDICAL AND SOCIAL SERVICES</p> <p>1. For purposes of titles XIX and XX, any child with respect to whom foster care maintenance payments are made under this section will be deemed a dependent child</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>as defined in section 406 of the Act (as so in effect 7/16/1996) and shall be deemed to be a recipient of aid to families with dependent children under Part A of this title (as so in effect 7/16/1996). Title XIX and XX services will be available to such child in the State in which the child resides.</p> <p>2. For the purposes of titles XIX and XX, any eligible child for whom there is a kinship guardianship assistance payment being made under section 473(d) is deemed to be a dependent child as defined in 406 of the Act and is deemed to be a recipient of AFDC under part A of title IV of the Act (as in effect 7/16/96) in the State in which such child resides.</p>	<p>s. 49.46</p> <p>Title IV-E Policy Manual, Section 12.1</p> <p>DSP Informational Memo 15-21i: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2015-21i.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
471(a)(14)	<p>F. SPECIFIC GOALS IN STATE/TRIBAL LAW</p> <p>1. The State/Tribal agency formulates for each fiscal year, a specific goal as to the maximum number of children (in absolute numbers or as a percentage of all children in foster care receiving assistance under a title IV-E program) who at any given time during the fiscal year will have been in foster care for over 24 months. The specific foster care goals required under section 471(a)(14) of the Act are incorporated into State or Tribal law by statute or</p>	<p>s. 48.62(7)</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>administrative regulation with the force of law.</p> <p>2. The State/Tribal agency will describe the steps that will be taken to achieve the specific goal established.</p>	
<p>1356.21(b) 471(a)(15)(A)&(B)</p>	<p>G. PREVENTIVE AND REUNIFICATION SERVICES</p> <p>1. Reasonable efforts. The State/Tribal agency makes reasonable efforts to maintain the family unit and prevent the unnecessary removal of a child from his/her home, as long as the child's safety is assured; to effect the safe reunification of the child and family (if temporary out-of-home placement is necessary to ensure the immediate safety of the child); and to make and finalize alternate</p>	<p><i>s. 48.355(2c)</i> <i>s. 48.38(4)(ar)</i> <i>s. 48.38(5)(c)7</i></p> <p>IV-E Policy Manual, Section 5.5</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>permanency plans in a timely manner when reunification is not appropriate or possible. In determining reasonable efforts to be made with respect to a child and in making such reasonable efforts, the child's health and safety is the State/Tribal agency's paramount concern.</p>	
471(a)(15)(C)	<p>2. If continuation of reasonable efforts as described in section 471(a)(15)(B) of the Act is determined to be inconsistent with the permanency plan for the child, reasonable efforts are made to place the child in a timely manner in accordance with the permanency plan including, if appropriate, through an interstate placement, and to complete whatever steps are necessary</p>	<p><i>s. 48.355(2c)</i> <i>s. 48.38(4)(ar)</i> <i>s. 48.38(5)(c)</i> IV-E Policy Manual, Section 7.3</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	to finalize the permanent placement of the child.	
1356.21(b)(1)(i)&(ii)	<p>3. Judicial determination of reasonable efforts to prevent a child's removal from the home.</p> <p>a. When a child is removed from his/her home, the judicial determination, as to whether reasonable efforts were made or were not required to prevent the removal, is made no later than 60 days from the date the child is removed from the home.</p> <p>b. If the determination concerning reasonable efforts to prevent the removal is not made as specified above, the child is not eligible under the title IV-E foster care maintenance payments</p>	<p><i>s. 48.21(1)</i> <i>s. 48.21(5)(b)</i></p> <p>IV-E Policy Manual, Section 5.5</p> <p>DCFS Numbered Memo 01-15: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2001-15.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>program for the duration of that stay in foster care.</p> <p>(Tribes, see also section 7 for use of nunc pro tunc orders.)</p>	
1356.21(b)(2)(i)	<p>4. Judicial determination of reasonable efforts to finalize a permanency plan.</p> <p>a. The State/Tribal agency obtains a judicial determination that it has made reasonable efforts to finalize the permanency plan that is in effect (whether the plan is reunification, adoption, legal guardianship, placement with a fit and willing relative, or placement in another planned permanent living arrangement) within 12 months of the date the child is considered to have entered foster care in accordance</p>	<p><i>s. 48.38(5m)(a)</i></p> <p>IV-E Policy Manual, Section 7.3</p> <p>DCFS Numbered Memo 01-15: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2001-15.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>with the definition at section 1355.20 of the regulations, and at least once every 12 months thereafter while the child is in foster care.</p> <p>(Tribes, see also section 7 for use of nunc pro tunc orders.)</p>	
1356.21(b)(2)(ii)	<p>b. If such a judicial determination regarding reasonable efforts to finalize a permanency plan is not made, the child becomes ineligible under title IV-E from the end of the 12th month following the date the child is considered to have entered foster care or the end of the 12th month following the month in which the most recent judicial determination of reasonable efforts to finalize a permanency plan was made, and remains ineligible until</p>	<p>IV-E Policy Manual, Section 7.3</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>such a judicial determination is made.</p> <p>(Tribes, see also section 7 for use of nunc pro tunc orders.)</p>	
<p>1356.21(b)(3) 471(a)(15)(D)</p>	<p>5. Reasonable efforts are not required to prevent a child's removal from home or to reunify the child and family if the State/Tribal agency obtains a judicial determination that such efforts are not required because:</p>	<p><i>s. 48.355(2d)</i></p>
<p>1356.21(b)(3)(i) 471(a)(15)(D)</p>	<p>a. a court of competent jurisdiction has determined that the parent has subjected the child to aggravated circumstances (as defined in State/Tribal law, which definition may include but need not be limited to abandonment, torture,</p>	<p><i>s. 48.355(2d)(b)1,2,3,4,5</i></p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	chronic abuse, and sexual abuse);	
1356.21(b)(3)(ii) 471(a)(15)(D)	<p>b. a court of competent jurisdiction has determined that the parent has been convicted of:</p> <ul style="list-style-type: none"> i. murder (which would have been an offense under section 1111(a) of title 18, United States Code, if the offense had occurred in the special maritime or territorial jurisdiction of the United States) of another child of the parent; ii. voluntary manslaughter (which would have been an offense under section 1112(a) of title 18, United States Code, if the offense had 	<p><i>s. 48.355(2d)(a)1,2</i></p> <p><i>s. 48.355(2d)(b) 1,2,3,5</i></p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>occurred in the special maritime or territorial jurisdiction of the United States) of another child of the parent;</p> <p>iii. aiding or abetting, attempting, conspiring, or soliciting to commit such a murder or such a voluntary manslaughter; or</p> <p>iv. a felony assault that results in serious bodily injury to the child or another child of the parent; or</p>	
1356.21(b)(3)(iii) 471(a)(15)(D)	c. the parental rights of the parent with respect to a sibling have been terminated involuntarily.	<i>s. 48.355(2d)(b)4</i>
1356.21(b)(4) 471(a)(15)(F)	6. Concurrent planning.	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>a. Reasonable efforts to finalize an alternate permanency plan may be made concurrently with reasonable efforts to reunify the child and family.</p> <p>b. Reasonable efforts to place a child for adoption or with a legal guardian, including identifying appropriate in-State/Tribal service area and out-of-State/Tribal service area placements, may be made concurrently with reasonable efforts to reunify the child and family.</p>	<p><i>s. 48.355(2b)</i></p> <p>DSP Numbered Memo 13-02: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2013-02.pdf</p> <p>DSP Numbered Memo 16-06: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2016-06.pdf</p> <p>Wisconsin Ongoing Services Standards: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/ongoing-services-standards.pdf</p>
1356.21(b)(5)	<p>7. Use of the Federal Parent Locator Service.</p> <p>The State/Tribal agency may seek the services of the Federal Parent Locator Service to search for absent</p>	<p>DCFS Informational Memo 06-19: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2006-19.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	parents at any point in order to facilitate a permanency plan.	DCFS Numbered Memo 07-01: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2007-01.pdf
1356.21(i)(1) 475(5)(E)	H. TERMINATION OF PARENTAL RIGHTS 1. The State/Tribe will file a petition (or, if such a petition has been filed by another party, seek to be joined as a party to the petition) to terminate the parental rights of a parent(s):	<i>s. 48.365(2g)(b)3</i> <i>s. 48.38(5)(c)6</i> <i>s. 48.417(1)(a)</i>
1356.21(i)(1)(i)	a. whose child has been in foster care under the responsibility of the State/Tribal agency for 15 of the most recent 22 months. The petition must be filed by the end of the child's 15th month in foster care. In calculating when to file a petition for termination of	<i>s. 48.365(2g)(b)3</i> <i>s. 48.38(5)(c)6</i> <i>s. 48.417(1)(a)</i>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>parental rights, the State/Tribe:</p> <ul style="list-style-type: none"> i. will calculate the 15 out of the most recent 22 month period from the date the child entered foster care as defined at section 475(5)(F) of the Act; ii. will use a cumulative method of calculation when a child experiences multiple exits from and entries into foster care during the 22 month period; iii. will not include trial home visits or runaway episodes in calculating 15 months in foster care; and iv. only applies section 475(5)(E) of the Act to a child once if the State/Tribe does not 	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	file a petition because one of the exceptions applies;	
1356.21(i)(1)(ii)	b. whose child has been determined by a court of competent jurisdiction to be an abandoned infant (as defined under State/Tribal law). The petition to terminate parental rights is made within 60 days of the judicial determination that the child is an abandoned infant; or	<i>s. 48.417(1)(b)</i>
1356.21(i)(1)(iii)	c. who has been convicted of one of the felonies listed above. Under such circumstances, the petition to terminate parental rights is to be made within 60 days of a judicial determination that reasonable efforts to reunify	<i>s. 48.417(1)(c) and (d)</i>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	the child and parent are not required.	
1356.21(i)(2)	<p>2. The State/Tribe may elect not to file or join a petition to terminate the parental rights of a parent of this section if:</p> <p>a. at the option of the State/Tribal agency, the child is being cared for by a relative;</p> <p>b. the State/Tribal agency has documented in the case plan (which must be available for court review) a compelling reason for determining that filing such a petition would not be in the best interests of the individual child; or</p> <p>c. the State/Tribal agency has not provided to the family, consistent with the</p>	<i>s. 48.417(1)(b)</i>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	time period in the case plan, services that the State/Tribal agency deems necessary for the safe return of the child to the home, when reasonable efforts to reunify the family are required.	
1356.21(i)(3)	3. When the State/Tribe files or joins a petition to terminate parental rights, it concurrently begins to identify, recruit, process, and approve a qualified adoptive family for the child.	<i>s. 48.417(3)</i>
1355.20(a) 475(5)(F)	I. DATE CHILD CONSIDERED TO HAVE ENTERED FOSTER CARE A child will be considered to have entered foster care on the earlier of: 1. the date of the first judicial finding that the child	IV-E Policy Manual, Appendix B

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>has been subjected to child abuse or neglect; or</p> <p>2. the date that is 60 days after the date on which the child is removed from the home.</p>	
<p>1356.21(d) 472(a)(1)</p>	<p>J. DOCUMENTATION OF JUDICIAL DETERMINATION</p> <p>The judicial determinations regarding contrary to the welfare, reasonable efforts to prevent removal, and reasonable efforts to finalize the permanency plan in effect, including judicial determinations that reasonable efforts are not required, are explicitly documented and made on a case-by-case basis and so stated in the court order.</p>	<p>DCFS Numbered Memo 01-15: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2001-15.pdf</p> <p>IV-E Policy Manual –Section 5.5</p> <p>Wisconsin Supreme Court Mandated Forms:</p> <p>JC-1611 JD-1711 JD-1745 JD-1746 JD-1789 JD-1791</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>1. If the reasonable efforts and contrary to the welfare judicial determinations are not included as required in the court orders, a transcript of the court proceedings is the only other documentation accepted to verify that these required determinations have been made.</p> <p>2. Neither affidavits nor nunc pro tunc orders will be accepted as verification documentation in support of reasonable efforts and contrary to the welfare judicial determinations, except as provided in 479B(c)(ii) of the Act and for Tribes. (See section 7.C. of this plan.)</p> <p>3. Court orders that reference State/Tribal law to substantiate judicial</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>determinations are not acceptable, even if the law provides that a removal must be based on a judicial determination that remaining in the home would be contrary to the child's welfare or that removal can only be ordered after reasonable efforts have been made, except as applied to Tribes in section 7 of this plan regarding use of nunc pro tunc orders.</p> <p>(Tribes see section 7 for nunc pro tunc orders.)</p>	
1356.21(e)	<p>K. TRIAL HOME VISITS</p> <p>A trial home visit may not exceed six months in duration, unless the court orders a longer trial home visit. If a trial home visit extends beyond six months</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>and has not been authorized by the court, or exceeds the time period the court has deemed appropriate, and the child is subsequently returned to foster care, that placement must then be considered a new placement and title IV-E eligibility must be newly established. Under these circumstances, the judicial determinations regarding contrary to the welfare and reasonable efforts to prevent removal are required.</p>	<p>DSP Numbered Memo 08-03: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2008-03.pdf</p> <p>IV-E Policy Manual, Section 7.1</p>
471(a)(24)	<p>L. TRAINING</p> <p>Before a child in foster care is placed with prospective foster parents, the prospective foster parents are adequately prepared with the appropriate knowledge and skills to provide for the needs of the child. As</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>necessary, such preparation is continued after placement of the child, and includes knowledge and skills relating to the reasonable and prudent parent standard for the participation of the child in age or developmentally-appropriate activities, including knowledge and skills relating to the developmental stages of the cognitive, emotional, physical, and behavioral capacities of a child, and applying the standard to decisions such as whether to allow the child to engage in social, extracurricular, enrichment, cultural and social activities, including sports, field trips and overnight activities lasting one or more days and involving the signing of permission slips and</p>	<p><i>s. 48.67(4)</i> DCF 56.14</p> <p><i>s. 48.383(2)</i></p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	arranging transportation for the child to and from extracurricular enrichment and social activities.	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
475(8)	<p>M. DEFINITION OF 'CHILD' For the purposes of the title IV-E foster care program under section 472, the term 'child' means:</p> <ol style="list-style-type: none"> 1. an individual who has not attained 18 years of age; or 2. at the option of the state/Tribal agency an individual— <ol style="list-style-type: none"> a. who is in foster care under the responsibility of the title IV-E agency b. who has attained 18 years of age but who has not attained 19, 20, or 21 years of age (as elected and indicated by the title IV-E agency), and c. who meets any of the following conditions: <ol style="list-style-type: none"> i. the child is completing 	<p><i>s. 48.645 (1)</i></p> <p><i>s.48.355 (4) (b)</i></p> <p><i>s.48.357 (6) (a)</i></p> <p><i>s.48.365 (5) (b)</i></p> <p><i>s.48.366</i> Extension to 21: DSP Numbered Memo 2014-06, “Policy and Procedure Requirements” section, pp. 1-2; “Emergency Rules – OHC 21” Attachment, “Summary of the Emergency Rule” section, pp. 3-6 https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2014-06.pdf</p> <p>IV-E Policy Manual, Appendix B – Glossary: “Child”</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>secondary education or a program leading to an equivalent credential;</p> <p>ii. the child is enrolled in an institution which provides post-secondary or vocational education;</p> <p>iii. the child is participating in a program or activity designed to promote, or remove barriers to, employment ;</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>iv. the child is employed for at least 80 hours per month; or</p> <p>v. the child is incapable of doing any of the above described activities due to a medical condition, which incapability is supported by regularly updated information in the case plan of the child.</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
475A(a)	<p>N. ANOTHER PLANNED PERMANENT LIVING ARRANGEMENT</p> <p>In the case of any child for whom another planned permanent living arrangement is the permanency plan determined for the child under section 475(5)(C), State/Tribal agency follows case plan and case system review procedures that include:</p> <p>1. At each permanency hearing held with respect to the child, the State/Tribal agency documents the intensive, ongoing, and, as of the date of the hearing, unsuccessful efforts made by the agency to return the child home or secure a placement for the child with a fit and willing relative (including adult siblings), a legal</p>	<p><i>s. 48.38(5)(bm)</i> <i>s. 48.38(5m)(a)</i> <i>s. 48.38(5)(c)1.</i> <i>s. 48.38(5)(c)6.</i> <i>s. 48.38(5)(c)7m</i> <i>s. 48.38(5m)(c)3.</i></p> <p>DSP <i>Informational</i> Memo 2016-02: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2016-02.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>guardian, or an adoptive parent, including through efforts that utilize search technology (including social media) to find biological family members for the children.</p> <p>2. At each permanency hearing held with respect to the child, the court or administrative body appointed or approved by the court conducting the hearing on the permanency plan for the child must:</p> <p>a. Ask the child about the desired permanency outcome for the child.</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>b. Make a judicial determination explaining why, as of the date of the hearing, another planned permanent living arrangement is the best permanency plan for the child and provide compelling reasons why it continues to not be in the best interests of the child to—</p> <ul style="list-style-type: none"> i. return home; ii. be placed for adoption; iii. be placed with a legal guardian; or iv. be placed with a fit and willing relative. <p>3. At each permanency hearing held with respect to the child, the State/Tribal agency shall document the</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>steps the agency is taking to ensure that—</p> <p>a. the child’s foster family home or child care institution is following the reasonable and prudent parent standard; and</p> <p>b. the child has regular, ongoing opportunities to engage in age or developmentally appropriate activities (including by consulting with the child in an age-appropriate manner about the opportunities of the child to participate in the activities).</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
471(a)(35)(A)	<p>O. ABSENCES FROM CARE</p> <p>The State/Tribal agency has protocols for:</p> <ol style="list-style-type: none"> 1. Expeditiously locating any child missing from foster care, 2. Determining the primary factors that contributed to the child's running away or otherwise being absent from care, and to the extent possible and appropriate, responding to those factors in current and subsequent placements, 3. Determining the child's experiences while absent from care, including screening the child to determine if the child is a possible sex trafficking victim, and 	<p>DSP Numbered Memo Series 2016-26: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2016-26.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	4. Reporting such related information as required by the Secretary.	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
475A(b)	<p>P. RIGHTS</p> <p>The State/Tribe must include in the case plan for any child in foster care who has attained 14 years of age:</p> <ol style="list-style-type: none"> 1. A document that describes the rights of the child with respect to education, health visitation, and court participation, the right to be provided with the documents specified in section 475(5)(I) in accordance with that section, and the right to stay safe and avoid exploitation; and 2. a signed acknowledgement by the child that the child has been provided with a copy of the documentation and that the rights contained in the document have been explained to the child in an age-appropriate way. 	<p><i>s. 48.38(4)(h)7.</i></p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
475A(c)	<p>Q. PLACEMENT IN A QUALIFIED RESIDENTIAL TREATMENT PROGRAM.</p> <p>1. In the case of any child who is placed in a qualified residential treatment program (as defined in section 472(k)(4)), case plan for the child and the case system review procedure for the child must:</p> <p>a. Assess the strengths and needs of the child within 30 days of the start of each placement, using an age-appropriate, evidence-based, validated, functional assessment tool approved by the Secretary;</p> <p>b. Determine whether the needs of the child can be met with family members or through placement in a foster family home or, if not, which</p>	<p>Not required until 10/1/19 unless the title IV-E agency is implementing a delayed effective date, during which time, the title IV-E agency will not claim FFP for title IV-E prevention services under section 474(a)(6) of the Act.</p> <p>See Attachment XI</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>setting from among the settings specified in section 472(k)(2) would provide the most effective and appropriate level of care for the child in the least restrictive environment and be consistent with the short- and long-term goals for the child, as specified in the permanency plan for the child; and</p> <p>c. Develop a list of child-specific short- and long-term mental and behavioral health goals.</p> <p>2. The state/tribal agency shall assemble a family and permanency team for the child in accordance with the requirements 475A(c). The qualified individual conducting the assessment shall work in conjunction with the family of, and</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>permanency team for, the child while conducting and making the assessment. The family and permanency team shall consist of all appropriate biological family members, relative, and fictive kin of the child, as well as, as appropriate, professionals who are a resource to the family of the child, such as teachers, medical or mental health providers who have treated the child, or clergy. In the case of a child who has attained age 14, the family and permanency team shall include the members of the permanency planning team for the child that are selected by the child in accordance with section 475(5)(C)(iv).</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>3. The State/Tribal agency shall document in the child's case plan—</p> <p>a. the reasonable and good faith effort of the agency to identify and include all the individuals described above on the child's family and permanency team;</p> <p>b. all contact information for members of the family and permanency team, as well as contact information for other family members and fictive kin who are not part of the family and permanency team;</p> <p>c. evidence that meetings of the family and permanency team, including meetings relating to the assessment required 475A(c), are held at a time and place convenient for family;</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>d. if reunification is the goal, evidence demonstrating that the parent from whom the child was removed provided input on the members of the family and permanency team;</p> <p>e. evidence that the assessment required 475A(c)(A) is determined in conjunction with the family and permanency team; and</p> <p>f. the placement preferences of the family and permanency team relative to the assessment that recognizes children should be placed with their siblings unless there is a finding by the court that such placement is contrary to their best interest; and</p> <p>g. if the placement preferences of the family and</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>permanency team and child are not the placement setting recommended by the qualified individual conducting the assessment, the reasons why the preferences of the team and of the child were not recommended.</p> <p>4. If the qualified individual conducting the assessment determines that a child should not be placed in a foster family home, the qualified individual shall specify in writing the reasons why the needs of the child cannot be met by the family of the child or in a foster family home. A shortage or lack of foster family homes shall not be an acceptable reason for determining that the needs of the child cannot be met in a foster family home. The qualified</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>individual also shall specify in writing why the recommended placement in a qualified residential treatment program is the setting that will provide the child with the most effective and appropriate level of care in the least restrictive environment and how that placement is consistent with the short- and long-term goals for the child, as specified in the permanency plan for the child.</p> <p>5. 'qualified individual' means a trained professional or licensed clinician who is not an employee of the State/Tribal agency and who is not connected to, or affiliated with, any placement setting in which children are placed by the State/Tribal agency. The agency may request that the Secretary</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>waive this requirement in a matter prescribed by the Secretary (See Attachment XI).</p> <p>6. Within 60 days of the start of each placement in a qualified residential treatment program, a family or juvenile court or another court (including a tribal court) of competent jurisdiction, or an administrative body appointed or approved by the court, independently, shall consider the assessment, determination, and documentation made by the qualified individual conducting the assessment, determine whether the needs of the child can be met through placement in a foster family home or, if not, whether placement of the child in a qualified residential</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>treatment program provides the most effective and appropriate level of care for the child in the least restrictive environment and whether that placement is consistent with the short- and long-term goals for the child, as specified in the permanency plan for the child; and approve or disapprove the placement.</p> <p>7. The case plan shall include documentation of any determination by a qualified individual that a child should not be placed in a foster family home, and the reasons why the needs of the child cannot be met by the family of the child or in a foster family home, and documentation of the determination and approval or disapproval of the placement in a qualified</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	residential treatment program by a court or administrative body.	
	SECTION 3. ADOPTION ASSISTANCE PAYMENTS	
	A. I. ELIGIBILITY – Applicable Child (Effective October 1, 2009)	<i>s. 48.975</i> DCF 50
473(e)	1. Beginning in fiscal year 2010, an applicable child is: a. a child for whom an adoption assistance agreement is entered into	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>under section 473 during any fiscal year described in 473(e)(1)(B) if the child attained the applicable age pursuant to that paragraph for that fiscal year before the end of that fiscal year; or</p> <p>b. a child of any age on the date on which an adoption assistance agreement is entered into on behalf of the child under section 473 if the child has been in foster care under the responsibility of the State/Tribal agency for at least 60 consecutive months and meets the requirements of paragraph 473(a)(2)(A)(ii); or</p> <p>c. a child of any age on the date on which an adoption assistance agreement is entered into on behalf of the child under this section</p>	<p>DSP Numbered Memo 12-11: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2012-11.pdf</p> <p>DSP Numbered Memo 06-19: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2006-19.pdf</p> <p>DCF 50.03 DCF 50.03(1)(b)</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>without regard to whether the child is described in 473(e)(2)(A) if the child:</p> <ul style="list-style-type: none"> i. is a sibling of a child who is an applicable child for the fiscal year under paragraphs 473(e)(1) or (2); and ii. is to be placed in the same adoption placement as their sibling who is an applicable child for the fiscal year; and iii. meets the requirements of 473 (a)(2)(A)(ii). 	
473(c)(2)	<p>2. Adoption assistance payments may be made to parents to adopt a child with special needs. In the case of a child who is an applicable child for a fiscal year as defined in 473(e), the child shall not be considered a</p>	<p>s. 48.975 DCF 50.05</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	child with special needs unless:	
473(c)(2)(A)	a. the State/Tribal agency has determined, pursuant to established criteria, that the child cannot or should not be returned to the home of his parents; and	<p><i>s. 48.40 – 48.43</i> <i>s. 48.975(2) and (4)</i></p> <p>DCF 50.01(4)(i) DCF 50.03(1)(b)</p>
473(c)(2)(B)	b. either: <ul style="list-style-type: none"> i. the State/Tribal agency has determined that there exists with respect to the child a specific factor or condition (such as ethnic background, age, or membership in a minority or sibling group, or the presence of factors such as medical conditions or physical, mental, or 	<p><i>s. 48.40 – 48.43</i> <i>s. 48.975(2) and (4)</i></p> <p>DCF 50.01(4)(i) DCF 50.03(1)(b)</p> <p>IV-E Policy Manual, Section 12.1</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>emotional handicaps) because of which it is reasonable to conclude that the child cannot be placed with adoptive parents without providing adoption assistance under this section and medical assistance under title XIX; or</p> <p>ii. the child meets all medical or disability requirements of title XVI with respect to eligibility for supplemental security income benefits; and</p>	
473(c)(2)(C)	c. the State/Tribal agency has determined that, except where it would be against the best interests of the child because of such factors as the existence of significant emotional ties with	<p>s. 48.975(2)</p> <p>DCF 50.03(2) and (3) DCF 50.03(2)(c)</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>prospective adoptive parents while in the care of the parents as a foster child, a reasonable, but unsuccessful, effort has been made to place the child with appropriate adoptive parents without providing adoption assistance under this section or medical assistance under title XIX.</p>	
<p>473(a)(1)(A) 473(a)(2)(A)(ii)</p>	<p>3. In the case of a child who is an applicable child for the fiscal year as defined in 473(e), adoption assistance payments may be made if the child has been determined by the State/Tribal agency pursuant to section 473(c) to be a child with special needs and:</p> <p>a. the time of initiation of adoption proceedings</p>	<p>s. 48.975(2)</p> <p>DCF 50.03(1)(b)</p> <p>IV-E Policy Manual, Section 13.0</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>the child was in the care of a public or licensed private child placement agency or Indian Tribal Organization pursuant to—</p> <p style="padding-left: 40px;">i. an involuntary removal of the child from the home in accordance with a judicial determination to the effect that continuation in the home would be contrary to the welfare of the child; or</p> <p style="padding-left: 40px;">ii. a voluntary placement agreement or voluntary relinquishment; or</p> <p>b. meets all medical or disability requirements of title XVI with respect to</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>eligibility for supplemental security income benefits; or</p> <p>c. was residing in a foster family home or child care institution with the child's minor parent, and the child's minor parent was in such foster family home or child care institution pursuant to—</p> <p style="padding-left: 40px;">i. an involuntary removal of the child from the home in accordance with a judicial determination to the effect that continuation in the home would be contrary to the welfare of the child; or</p> <p style="padding-left: 40px;">ii. a voluntary placement agreement or voluntary relinquishment; and</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>d. has been determined by the State/Tribal agency, pursuant to subsection 473(c)(2), to be a child with special needs.</p>	
<p>473(a)(2)(C)(ii)</p>	<p>4. In the case of a child who is an applicable child for the fiscal year as so defined in 473(e), the child will be treated as meeting the requirements to receive adoption assistance payments if the child:</p> <p>a. meets the requirements of 473(a)(2)(A)(ii)(II); and</p> <p>b. is determined eligible for adoption assistance payments under this part with respect to a prior adoption (or who would have been determined eligible for such payments had the</p>	<p>IV-E Policy Manual, Section 13.0</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>Adoption and Safe Families Act of 1997 been in effect at the time that such determination would have been made); and</p> <p>c. is available for adoption because the prior adoption has been dissolved and the parental rights of the adoptive parents have been terminated or because the child's adoptive parents have died.</p>	
	<p>A. II. ELIGIBILITY – Non-applicable Child (Currently effective, but beginning October 1, 2009, decreases based on the criteria in 473(e) until July October 1, 202547, at which time this authority ends)</p>	<p>IV-E Policy Manual, Section 13.2.1.3.2.3</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
473(a)(1)(A) 473(c)(1)	1. Adoption assistance payments may be made to parents who adopt a child with special needs. In the case of a child who is not an applicable child, as defined in 473(e), for a fiscal year, the child shall not be considered a child with special needs unless:	<i>s. 48.975</i> DCF 50.05
473(c)(1)(A)	a. the State/Tribal agency has determined the child cannot or should not be returned to the home of his or her parents; and	<i>s. 48.975(2) and (4)</i> DCF 50.01(4)(i) DCF 50.03(1)(b)
473(c)(1)(B)	b. the State/Tribal agency has first determined that a specific factor or condition exists with respect to the child (such as ethnic background, age, or membership in a minority or sibling group, or the presence of factors such as	<i>s. 48.40 – 48.43</i> <i>s. 48.975(2) and (4)</i> DCF 50.01(4)(i) DCF 50.03(1)(b) IV-E Policy Manual, Section 13.2

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>medical conditions or physical, mental or emotional disabilities) because of which it is reasonable to conclude that such child cannot be placed for adoption without providing adoption assistance or medical assistance under title XIX; and</p>	
473(c)(1)(B)	<p>c. a reasonable, but unsuccessful, effort has been made to place the child without providing assistance except where it would be against the best interests of the child due to such factors as the existence of significant emotional ties with prospective adoptive parents while in the care of such parents as a foster child.</p>	<p><i>s. 48.975(2)</i> DCF 50.03(2) and (3)</p>
473(a)(2)(A) 473(a)(2)(A)(i)	<p>2. In the case of a child who is not an applicable child for the fiscal year as defined in</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
473(a)(2)(A)(i)(II)	473(e), adoption assistance payments may be made if the child has been determined by the State/Tribal agency pursuant to section 473(c) to be a child with special needs and:	DCF 50.03 IV-E Policy Manual, Section 13.5
473(a)(2)(A)(i)(I)(a)	a. was removed from the home of a relative specified in section 406(a) of the Act (as in effect on July 16, 1996) and placed in foster care in accordance with a voluntary placement agreement with respect to which Federal payments are provided under section 474 (or section 403, as in effect on July 16, 1996), or in accordance with a judicial determination to the effect that continuation in the home would be contrary to the welfare of the child; and	IV-E Policy Manual, Section 13.2 CFS-2334 Form: http://dcf.wisconsin.gov/files/forms/doc/2334.docx

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<ul style="list-style-type: none"> i. received AFDC, in that relative's home, under the State plan approved under section 402 of the Act (as in effect 7/16/96), or would have received AFDC under such plan had application been made, in or for the month the voluntary placement agreement was entered into or court proceedings leading to the judicial determination referred to in section 473(a)(2)(A)(i) were initiated; or ii. had been living with a relative specified in section 406(a) of the Act within six months before the month in which a voluntary placement agreement 	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>was entered into or court proceedings leading to the judicial determination referred to in section 473(a)(2)(A)(i), were initiated and would have received AFDC in that relative's home under the State plan approved under section 402 of the Act for that month, if in that month the child had been living with such relative and application had been made;</p> <p>(Tribes, see also section 7 (AFDC))</p>	
473(a)(2)(A)(i)(I)(b b)	b. meets all the requirements of title XVI of the Act with respect to	IV-E Policy Manual, Section 6.3

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	eligibility for supplemental security income benefits; or	
473(a)(2)(A)(i)(I)(cc)	c. is a child whose costs in a foster family home or child-care institution are covered by the foster care maintenance payments being made with respect to the minor parent of the child as provided in section 475(4)(B).	IV-E Policy Manual, Section 13.2
473(a)(2)(C)(i)	3. In the case of a child who is not an applicable child for the fiscal year as defined in 473(e), the child will be treated as meeting the requirements to receive adoption assistance payments if the child: a. meets the requirements of section 473(a)(2)(A)(i)(II); and	IV-E Policy Manual, Section 13.2

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>b. is determined eligible for adoption assistance payments under 473 of the Act with respect to a prior adoption; and</p> <p>c. is available for adoption because the prior adoption has been dissolved and the parental rights of the adoptive parents have been terminated or because the child's adoptive parents have died; and</p> <p>d. fails to meet the requirements of section 473(a)(2)(A)(i) but will meet such requirements if the child is treated as if the child is in the same financial and other circumstances the child was in the last time the child was determined eligible for adoption assistance payments under section 473</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	of the Act and the prior adoption is treated as never having occurred.	
	A. III. ELIGIBILITY – General	
473(a)(1)(B)	1. Adoption assistance payments are made to adoptive parents who have entered into an adoption assistance agreement (see subsection C of this plan) with the title IV-E agency.	<p><i>s. 48.975(4)</i></p> <p>DCF 50.01(4)(d)</p>
473(a)(2)(D)	2. In determining the eligibility for adoption assistance payments of a child in a legal guardianship arrangement described in section 471(a)(28), the placement of the child with the relative guardian involved and any kinship guardianship assistance payments made on behalf of	<p>IV-E Policy Manual, Section 14.1</p> <p>DCF 55.06(2)(L)</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	the child shall be considered never to have been made.	
473(a)(1)(B)(i)	<p>B. PAYMENTS – AMOUNTS AND CONDITIONS</p> <p>1. Payments will be made for non-recurring adoption expenses incurred by or on behalf of the adoptive parents in connection with the adoption of a child with special needs, directly through the State/Tribal agency or through another public or nonprofit private agency, in amounts determined through an agreement with the adoptive parents; and</p>	DCF 50.05(3)
473(a)(1)(B)(ii)	2. In any case where the child meets the requirements of section 473(a)(2) of the Act, the State/Tribal agency may make adoption	<i>s. 48.975(1)</i>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>assistance payments to adoptive parents, directly through the State/Tribal agency or through another public or nonprofit private agency, in amounts so determined through an adoption assistance agreement (see Section 3, item C of this plan).</p>	
473(a)(3)	<p>3. The amount of such payment:</p> <p>a. will take into consideration the circumstances of the adopting parents and the needs of the child being adopted;</p> <p>b. may be adjusted periodically with the concurrence of the adoptive</p>	<p><i>s. 48.975 (3)(a)(1)</i> <i>s. 48.975 (3)(a)(4)</i></p> <p>DCF 50.04(4) DCF 50.06(3)(c)2</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>parents to reflect changing circumstances; and</p> <p>c. may not exceed the foster care maintenance payment which would have been paid during the period if the child with respect to whom the adoption assistance payment is made had been in a foster family home.</p>	
1356.40(c)	4. In determining eligibility for adoption assistance payments, there is no income eligibility requirement (means test) for the adoptive parents.	IV-E Policy Manual, Section 13.2
473(a)(4)	<p>5. Payments are terminated when the State/Tribal agency determines that:</p> <p>a. the child has attained the age of 18, or such greater</p>	<p>DCF 50.06(3)(b)</p> <p><i>s. 48.975 (3m)</i></p> <p><i>s. 48.366</i></p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>age as the State/Tribal agency may elect under section 475(8)(B)(iii); or</p> <p>b. the child has attained 21 years of age, if the state/Tribal agency determines that the child has a mental or physical disability which warrants the continuation of assistance to age 21; or</p> <p>c. the parents are no longer legally responsible for the support of the child who has not yet attained 18 years of age; or</p> <p>d. the adoptive parents are no longer providing any support to the child.</p>	<p><i>Extension to 21:</i> DSP Numbered Memo 2014-06, “Policy and Procedure Requirements” (p.2); “Emergency Rules – OHC 21” Attachment, section DCF 50, p.3 https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2014-06.pdf</p> <p>IV-E Policy Manual, section 13.2.1.3.4</p>
473(a)(4)	6. The adoptive parents are required to inform the State/Tribal agency of	DCF 50.06(2)

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>circumstances that would make them ineligible for adoption assistance payments or eligible for adoption assistance payments in a different amount.</p>	
473(a)(7)	<p>7. No payment may be made to parents with respect to any applicable child for a fiscal year that:</p> <ul style="list-style-type: none"> a. would be considered a child with special needs under 473(c)(2); b. is not a citizen or resident of the United States; and c. was adopted outside of the United States or was brought into the United States for the purpose of being adopted. 	<p>DCF 50.03(1)(d)</p> <p>IV-E Policy Manual, Section 13.3</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	8. A child that is not a citizen or resident of the US and was adopted outside of the US or brought into the US for the purpose of being adopted may be eligible for adoption assistance payments if the initial adoption of the child by parents is a failure and the child is subsequently placed into foster care.	
475(3)	C. ADOPTION ASSISTANCE AGREEMENT 1. An adoption assistance agreement is a written agreement, binding on all parties, between the State/Tribal agency, other relevant agencies, and the prospective adoptive parents.	<i>s. 48.975(4)</i> DCF 50.06(1)
1356.40(b)	2. The adoption assistance agreement meets the requirements of section	<i>s. 48.975(4)</i>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	475(3) of the Act as stated below:	CFS Form 74: https://dcf.wisconsin.gov/files/forms/doc/0074.docx
1356.40(b)(1)	a. is signed by the adoptive parents and a representative of the State/Tribal agency and is in effect before adoption assistance payments are made under title IV-E, but no later than the finalization of the adoption;	CFS Form 74: https://dcf.wisconsin.gov/files/forms/doc/0074.docx
1356.40(b)(2) 475(3)	b. specifies the duration of the agreement;	CFS Form 74: https://dcf.wisconsin.gov/files/forms/doc/0074.docx
1356.40(b)(3)	c. specifies the amount of the adoption assistance payments (if any) and the nature and amount of any other payments, services and assistance to be provided (including non-recurring adoption expenses in	CFS Form 74: https://dcf.wisconsin.gov/files/forms/doc/0074.docx

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	agreements for expenditures incurred by the parents);	
473(b)	d. specifies the child's eligibility for title XIX and title XX;	CFS Form 74: https://dcf.wisconsin.gov/files/forms/doc/0074.docx
475(3)(B)	e. specifies that the agreement remains in effect regardless of the State or Tribal service area of residence of the adoptive parents;	CFS Form 74: https://dcf.wisconsin.gov/files/forms/doc/0074.docx
475(3)	f. contains provisions for the protection of the interests of the child in case the adoptive parents and child should move to another State or out of the Tribal service area while the agreement is in effect; and	CFS Form 74: https://dcf.wisconsin.gov/files/forms/doc/0074.docx
1356.40(d)	g. if a needed service specified in the agreement is	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	not available in the new State or Tribal service area of residence, the State/Tribal agency making the original adoption assistance payment remains financially responsible for providing the specified service(s).	DCF 50.05(4)
473(b)(1-4)	<p>D. MEDICAID AND SOCIAL SERVICES</p> <p>1. For the purposes of titles XIX and XX, any eligible child for whom there is an adoption assistance agreement in effect under section 473(a)(2) (whether or not adoption assistance payments are being made) is deemed to be a dependent child as defined in 406 of the Act and is deemed to be a recipient of AFDC under part A of title IV of the Act (as in effect 7/16/96) in the State in which such child resides.</p>	<p>CFS Form 74: https://dcf.wisconsin.gov/files/forms/doc/0074.docx</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
471(a)(21)(A)&(B)	<p>2. The State/Tribe will provide health insurance coverage (through one or more State/Tribal medical assistance programs), with the same type and kind of benefits as those which would be provided for children under title XIX, or a comparable medical plan, for any child who has been determined to be a child with special needs, for whom there is in effect an adoption assistance agreement between the State/Tribal agency and an adoptive parent or parents, and who the State/Tribal agency has determined cannot be placed with an adoptive parent or parents without medical assistance due to special needs for medical, mental health or rehabilitative care.</p>	<p>DCF 50.05(2)</p> <p>BadgerCare Plus Eligibility Handbook: https://www.dhs.wisconsin.gov/library/p-10171.htm</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
471(a)(21)(C)&(D)	<p>3. In the event that the State/Tribe provides such coverage through a State/Tribe medical assistance program other than the program under title XIX, and the State/Tribe exceeds its funding for services under such other program, any such child is deemed to be receiving aid or assistance under the State/Tribal agency plan under this part for purposes of section 1902(a)(10)(a)(i)(1); and in determining cost-sharing requirements, the State/Tribal agency will take into consideration the circumstances of the adopting parent or parents and the needs of the child being adopted to the extent coverage is provided through</p>	DCF 50.05(4)

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	a State/Tribal medical assistance program, consistent with the rules under such program.	
473A(b)(3)	<p>E. ELIGIBILITY FOR ADOPTION INCENTIVE FUNDING</p> <p>(Not applicable to Tribes.)</p> <p>1. States that are eligible for adoption incentive funds must be in compliance with the data requirements in section 473A(b)(2) of the Act; and</p> <p>2. Provide health insurance coverage to any child with special needs (as determined under section 473(c)) for whom there is in effect an adoption assistance agreement.</p>	<p><i>See Certification and Attachment B</i></p> <p>DCF 50.05(4)</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
471(a)(33)	<p>F. ADOPTION TAX CREDIT</p> <p>The State/Tribal agency informs every individual who is adopting or whom the State/Tribal agency is made aware is considering adopting, a child who is in foster care under the responsibility of the State/Tribal agency of the potential eligibility for a Federal tax credit under section 23 of the Internal Revenue Code of 1986.</p>	<p>DCFS Numbered Memo 97-05: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/1997-05.pdf</p>
475(8)	<p>G. DEFINITION OF 'CHILD'</p> <p>For the purposes of the title IV-E adoption assistance program under section 473, the term 'child' means:</p> <ol style="list-style-type: none"> 1. an individual who has not attained 18 years of age; or 	<p><i>s. 48.975 (3m)</i> <i>s. 48.366</i></p> <p>DCF 50.03</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>2. at the option of the State/Tribal agency an individual</p> <p>a. with respect to whom an adoption assistance agreement is in effect under section 473 if the individual had attained age 16 before the adoption assistance agreement became effective;</p> <p>b. who has attained the age of 18, but has not attained 19, 20, or 21 years of age, as the</p>	<p><i>Extension to 21:</i> IV-E Policy Manual, Appendix B: "Child"</p> <p>DSP Numbered Memo 2014-06, "Policy and Procedure Requirements" section (p. 2) and "Emergency Rules – OHC 21" Attachment, section DCF 50, p.3 https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2014-06.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>title IV-E agency may elect; and</p> <p>c. who meets any of the following conditions:</p> <ul style="list-style-type: none"> i. the child is completing secondary education or a program leading to an equivalent credential; ii. the child is enrolled in an institution which provides post-secondary 	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>or vocational education;</p> <p>iii. the child is participating in a program or activity designed to promote, or remove barriers to, employment;</p> <p>iv. the child is employed for at least 80 hours per month; or</p> <p>v. the child is incapable</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	of doing any of the above described activities due to a medical condition.	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	SECTION 4. GENERAL PROGRAM REQUIREMENTS	
471(a)(10) and (36)(B), (C) and (D)	<p>A. STANDARDS FOR FOSTER FAMILY HOMES AND CHILD CARE INSTITUTIONS</p> <p>The agency has established or designated a State/Tribal</p>	<p>s. 46.03(7)(a) s.48.48(1), (4), (9), (10), (15) s. 48.57(1)(i) s. 48.62(1)(a), (b) s. 48.625 s. 48.66</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>authority(ies) which is responsible for establishing and maintaining standards for foster family homes and child care institutions which are reasonably in accord with recommended standards of national organizations concerned with standards for such institutions or homes, including standards related to admission policies, safety, sanitation, and protection of civil rights and which shall permit use of the reasonable and prudent parenting standard.</p> <p>The standards so established are applied by the State/Tribe to any foster family home or child-care institution receiving funds under titles IV-E or IV-B. The standards shall require, as a condition of</p>	<p>s. 48.67 s. 48.70 s. 48.715 s. 48.73 s. 48.74 s. 48.745 s. 48.75 s. 48.77</p> <p>DCF 52 DCF 56 DCF 57</p> <p>s. 48.67(4)(a)1m. s. 48.67(5) s. 895.485(1-6)</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>each contract entered into by a child care institution to provide foster care, the presence on-site of at least 1 official who, with respect to any child placed at the child care institution, is designated to be the caregiver who is authorized to apply the reasonable and prudent parent standard to decisions involving the participation of the child in age or developmentally-appropriate activities, and who is provided with training in how to use and apply the reasonable and prudent parent standard in the same manner as prospective foster parents are provided the training pursuant to paragraph 471(a)(24).</p> <p>The standards for foster family homes and child care</p>	<p>See ATTACHMENT X</p> <p>Not required until April 1, 2019 unless a legislative delay or a delay for tribes, tribal organizations or consortia is approved by the Secretary</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>institutions shall include policies related to the liability of foster parents and private entities under contract by the State involving the application of the reasonable and prudent parent standard, to ensure appropriate liability for caregivers when a child participates in an approved activity and the caregiver approving the activity acts in accordance with the reasonable and prudent parent standard.</p> <p>The State/Tribal agency may provide waivers of such standards only on a case-by-case basis for non-safety standards (as determined by the State/Tribe) in relative foster family homes for specific children in care. The State/Tribal agency must</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>describe which standards it most commonly waives, or if the agency has elected not to waive the standards, the reason for not waiving these standards.</p> <p>State/Tribal agencies that provide such waivers must describe training provided to caseworkers to use the waiver authority and the state/tribal agency process or tools provided to assist caseworkers in waiving non-safety standards per the authority provided in 471(a)(10)(D) to quickly place children with relatives.</p> <p>The agency must describe any steps the agency is taking to improve caseworker training or the process.</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	(Tribes, see section 7)	
471(a)(36)(A)	The State/Tribal agency shall maintain licensing standards that are in accord with model standards identified by the Secretary, and if not, shall document the reason for the specific deviation and a description as to why having a standard that is reasonably in accord with the corresponding national model standards is not appropriate for the agency.	See ATTACHMENT X Not required until April 1, 2019 unless a legislative delay or a delay for tribes, tribal organizations or consortia is approved by the Secretary
1355.20(a) 472(c)(1)	1. Foster family home means, for the purpose of title IV-E eligibility, the home of an individual or family: a. that is licensed or approved by the State or Tribal service area in which	Not required until 10/1/19, unless the title IV-E agency is implementing a delayed effective date during which time, the title IV-E agency will not claim FFP for title IV-E prevention services under section 474(a)(6) of the Act. s. 48.02(6)

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>it is situated as a foster family home (or with respect to foster family homes on or near Indian reservations, by the tribal licensing or approval authority(ies)), that as meets ing the standards established for the licensing or approval; and</p> <p>b. in which a child in foster care has been placed in the care of an individual, who resides with the child and who has been licensed or approved by the State/Tribal agency to be a foster parent that the agency deems capable of adhering to the reasonable and prudent parent standard; that provides 24-hour substitute care for children placed away from their parents or other</p>	<p><i>s. 48.07(7)</i> <i>s. 48.62(1)(a)</i> <i>s. 48.625</i></p> <p>DCF 56</p> <p>DCF 57</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>caretakers; and that provides the care for not more than six children in foster care.</p> <p>c. in which the number of foster children that may be cared for in a foster family home may not exceed six, except at the option of the State/Tribal agency, for any of the following reasons:</p> <p>(i) To allow a parenting youth in foster care to remain with the child of the parenting youth.</p> <p>(ii) To allow siblings to remain together.</p> <p>(iii) To allow a child with an established meaningful</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>relationship with the family to remain with the family.</p> <p>(iv) To allow a family with special training or skills to provide care to a child who has a severe disability.</p> <p>by the State/Tribal licensing or approval authority(ies) (or with respect to foster family homes on or near Indian reservations, by the tribal licensing or approval authority(ies)), that provides 24-hour out-of-home care for children. The term may include group homes, agency-operated boarding homes or other facilities licensed or approved for the purpose of providing foster care by the State/Tribal agency responsible for approval or licensing of such facilities.</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>Foster family homes that are approved must be held to the same standards as foster family homes that are licensed. Anything less than full licensure or approval is insufficient for meeting title IV-E eligibility requirements.</p> <p>(Tribes, see also section 7)</p>	
<p>1355.20(a)(2) 472(c)(2)</p>	<p>2. Child care institution means a private child care institution, or a public child care institution which accommodates no more than 25 children, and is licensed by the State/Tribe in which it is situated or has been approved by the agency of such the State or tribal licensing authority (with respect to child care institutions on or near Indian reservations)</p>	<p>s. 48.02(15d) s. 48.60</p> <p>DCF 52.02(1)</p> <p>IV-E Policy Manual, section 6.2.3.5</p> <p>DSP Numbered Memo 2014-06, Att. “Supervised Independent Living policy”, p.1 https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2014-06.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>responsible for licensing or approval of institutions of this type as meeting the standards established for such licensing except, in the case of a child who has attained 18 years of age, the term includes a supervised setting in which the individual is living independently.</p> <p>This definition must not include detention facilities, forestry camps, training schools, or any other facility operated primarily for the detention of children who are determined to be delinquent.</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>In addition to these requirements, a qualified residential treatment program must be accredited by any of the independent, not-for-profit organizations listed at 472(k)(4)(G) of the Act or any other independent, not-for-profit accrediting organization of a similar type and kind as those already identified in the Act approved by the Secretary (see Attachment XI).</p> <p>(Tribes, see also section 7 for requirements related to 471(a)(10).)</p>	
472(j)	3. Licensed residential family-based treatment facility for substance abuse, means a treatment facility	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>provides, as part of the treatment for substance abuse, parenting skills training, parent education, and individual and family counseling; and under an organizational structure and treatment framework that involves understanding, recognizing, and responding to the effects of all types of trauma and in accordance with recognized principles of a trauma-informed approach and trauma-specific interventions to address the consequences of trauma and facilitate healing.</p>	
1356.21(m)(1)&(2) 471(a)(11)	B. REVIEW OF PAYMENTS AND LICENSING STANDARDS	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>The agency reviews at reasonable, specific, time-limited periods established by the State/Tribe:</p> <ol style="list-style-type: none"> 1. the amount of the payment made for foster care maintenance and adoption assistance to assure their continued appropriateness; and 2. the licensing or approval standards for child care institutions and foster family homes. 	<p>s. 48.62(4)</p> <p>DSP Numbered Memo 12-11: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2012-11.pdf</p>
471(a)(12)	<p>C. FAIR HEARINGS</p> <p>The State/Tribal agency has a system for granting an opportunity for a fair hearing (before the State/Tribal agency) to any individual whose claim for benefits under this plan is denied or not acted upon</p>	<p>s. 48.64(4)(a)</p> <p>DCF 56.10</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	with reasonable promptness.	
471(a)(13)	<p>D. INDEPENDENT AUDIT</p> <p>The State/Tribal agency will arrange for a periodic and independently conducted audit, no less frequently than once every three years, of the titles IV-E and IV-B programs.</p>	<p>Legislative Audit Bureau State of Wisconsin Single Audit (Annual): https://legis.wisconsin.gov/lab/audit-reports/reports-by-year/</p> <p>DSP Informational Memo 11-04: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2011-04.pdf</p> <p><i>s. 13.94(1)(s)</i></p>
471(a)(9)(A)	<p>E. CHILD ABUSE AND NEGLECT</p> <p>The State/Tribal agency will report to an appropriate agency or official known or suspected instances of physical or mental injury, sexual abuse or exploitation, or negligent treatment or maltreatment of a child receiving aid under titles IV-B or IV-E under circumstances that</p>	<p><i>s. 48.981(2), (2m)(d), (3)</i></p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	indicate that the child's health or welfare is threatened.	
471(a)(25)&(26)	<p>F. TIMELY INTERSTATE PLACEMENT OF CHILDREN</p> <p>1. The State/Tribal agency shall have in effect procedures for the orderly and timely interstate placement of children which provides that:</p> <p>a. within 60 days after the State/Tribe receives from another State or Tribe a request to conduct a study of a home environment for purposes of assessing the safety and suitability of placing a child in the home, the State or Tribe shall, directly or by contract:</p>	<p>s. 48.988 s. 48.989</p> <p>DCF Numbered Memo 17-20: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2017-20.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<ul style="list-style-type: none"> i. conduct and complete the study; and ii. return to the other State or Tribe a report on the results of the study which shall address the extent to which placement in the home would meet the needs of the child; b. the State or Tribe is not required to complete within the applicable time period the parts of the home study involving the education and training of the prospective foster or adoptive parents; c. the State or Tribe shall treat any such report that is received from another State or Tribe (or from a private agency under contract with another State/Tribe) as meeting any requirements 	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>imposed by the State or Tribe for the completion of a home study before placing a child in the home, unless, within 14 days after receipt of the report, the State or Tribe determines, based on grounds that are specific to the content of the report, that making a decision in reliance on the report would be contrary to the welfare of the child; and</p> <p>d. the State or Tribe shall not impose any restriction on the ability of an agency administering, or supervising the administration of, a State or Tribal program operated under a plan approved under this part to contract with a private agency for</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	the conduct of such a home study.	
471(a)(25)	<p>2. The State shall have in effect procedures for the use of an electronic interstate case-processing system.</p> <p>(Not applicable to Tribes, Commonwealth of Puerto Rico, the United States Virgin Islands, Guam, and American Samoa)</p>	Not required until 10/1/2027
471(a)(18)(A)&(B) 1355.38(a)(2)	<p>G. REMOVAL OF BARRIERS TO INTERETHNIC ADOPTION</p> <p>1. A State/Tribal agency or any other entity in the State/Tribe that receives funds from the Federal Government and is involved</p>	<p>DCFS Numbered Memo 97-06: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/1997-06.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>in adoption or foster care placements may not:</p> <ul style="list-style-type: none"> a. deny to any person the opportunity to become an adoptive or foster parent, on the basis of race, color, or national origin of the person, or of the child involved; or b. delay or deny the placement of a child for adoption or into foster care, on the basis of race, color, or national origin of the adoptive or foster parent or the child involved; and c. maintain any statute, regulation, policy, procedure or practice that, on its face, is a violation as defined in sections 471(a)(18)(A) and (B)). 	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
1355.38(a)(5)	2. Compliance with the Indian Child Welfare Act of 1978 (Pub.L. 95-608) does not constitute a violation of section 471(a)(18).	<p>s. 48.028</p> <p>Summary of Codification of the Federal Indian Child Welfare Act: https://dcf.wisconsin.gov/files/cwportal/wicwa/wicwa-codification.pdf</p> <p>DSP Numbered Memo 13-02: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2013-02.pdf</p> <p>DSP Numbered Memo 16-06: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2016-06.pdf</p> <p>Wisconsin Ongoing Services Standards: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/ongoing-services-standards.pdf</p>
471(a)(19)	<p>H. KINSHIP CARE</p> <p>1. The State/Tribal agency considers giving preference to an adult relative over a non-related caregiver when determining a placement for a child, provided that the relative caregiver meets</p>	<p>s. 48.38(4)(bm) s. 48.38(5)(c)6</p> <p>DCF 58.10</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	all relevant State/Tribal child protection standards.	
471(a)(29) 475(7)	<p>2. Within thirty days after the removal of a child from the custody of the parent or parents of the child, the State/Tribal agency shall exercise due diligence to identify and provide notice to the following relatives: all adult grandparents, all parents of a sibling of the child, where such parent has legal custody of such sibling, and other adult relatives of the child (including any other adult relatives suggested by the parents), subject to exceptions due to family violence, that:</p> <p>a. specifies that the child has been or is being removed from the custody</p>	<p><i>s. 48.357(2v)(d)</i> <i>s. 48.21(5)(e)</i></p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>of the parent or parents of the child;</p> <p>b. explains the options the relative has under Federal, State, and local law or Tribal law to participate in the care and placement of the child, including any options that may be lost by failing to respond to the notice;</p> <p>c. describes the requirements under paragraph 471(a)(10) to become a foster family home and the additional services and supports that are available for the children placed in such a home; and</p> <p>d. if the State/Tribal agency has elected to operate a kinship guardianship assistance</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>program, describes how the relative guardian of the child may subsequently enter into an agreement with the State/Tribal agency under 473(d) to receive the payments.</p> <p>3. The legal guardianship means a judicially created relationship between the child and relative which is intended to be permanent and self-sustaining as evidenced by the transfer to the relative of the following parental rights with respect to the child:</p> <ul style="list-style-type: none"> a. protection; b. education; c. care and control of the person; 	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>d. custody of the person; and</p> <p>e. decision making.</p>	
471(a)(31)	<p>I. SIBLING PLACEMENT</p> <p>The State/Tribal agency shall make reasonable efforts to:</p> <ol style="list-style-type: none"> 1. place siblings removed from their home in the same foster care, kinship guardianship, or adoptive placement, unless the State documents that such a joint placement would be contrary to the safety or well-being of any of the siblings; and 2. in the case of siblings removed from their home who are not so jointly placed, to provide for frequent visitation or other ongoing interaction 	<p><i>s. 48.355(2)(b)6p</i></p> <p>DSP Informational Memo 06-01i: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2006-01i.pdf</p> <p>DSP Numbered Memo 13-02: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2013-02.pdf</p> <p>DSP Numbered Memo 16-06: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2016-06.pdf</p> <p>Wisconsin Ongoing Services Standards: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/ongoing-services-standards.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>between the siblings, unless that State/Tribal agency documents that frequent visitation or other ongoing interaction would be contrary to the safety or well-being of any of the siblings.</p>	
<p>471(a)(20)(A)</p>	<p>J. SAFETY REQUIREMENTS</p> <p>1. Safety requirements for foster care, and adoptive home providers.</p> <p>a. The State/Tribal agency provides procedures for criminal records checks (including finger-print-based checks of national crime information databases (as defined in section 534(f) (3)(a) of title 28, United States Code) for any prospective foster and adoptive parent before the parent may be finally</p>	<p>DHS 12.01</p> <p>DCF 56.05</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	approved for placement of a child regardless of whether foster care maintenance payments or adoption assistance payments are to be made on behalf of the child.	
1356.30(b) 471(a)(20)(A)(i)	b. The State/Tribe does not approve or license any prospective foster or adoptive parent, nor does the State/Tribal agency claim FFP for any foster care maintenance or adoption assistance payment made on behalf of a child placed in a foster home operated under the auspices of a child placing agency or on behalf of a child placed in an adoptive home through a private adoption agency, if the State/Tribal agency finds that, in any case involving a	<p>s. 48.685</p> <p>DHS 12.01 and Appendix A</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>child on whose behalf such payments are to be made in which a criminal records check conducted in accordance with paragraph (a) of this section, a court of competent jurisdiction has determined that the prospective foster or adoptive parent has been convicted of a felony involving:</p> <ul style="list-style-type: none"> i. child abuse or neglect; ii. spousal abuse; iii. a crime against a child or children (including child pornography); or iv. a crime involving violence, including rape, sexual assault, or homicide, but not including other 	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	physical assault or battery.	
1356.30(c) 471(a)(20)(A)(ii)	c. The State/Tribe does not approve or license any prospective foster or adoptive parent, nor claim FFP for any foster care maintenance or adoption assistance payment made on behalf of a child placed in a foster family home operated under the auspices of a child placing agency or on behalf of a child placed in an adoptive home through a private adoption agency, if the State/Tribal agency finds, in any case involving a child on whose behalf such payments are to be made in which a criminal records check conducted in accordance with paragraph (a) of this section, that a	<p>s. 48.685</p> <p>DHS 12.01 and Appendix A</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>court of competent jurisdiction has determined that the prospective foster or adoptive parent has, within the last five years, been convicted of a felony involving:</p> <ul style="list-style-type: none"> i. physical assault; ii. battery; or iii. a drug-related offense. 	
<p>1356.30(f) 471(a)(20)(D)</p>	<p>d. In order for a child to be eligible for title IV-E funding, the licensing file for a child care institution must contain documentation which verifies that safety considerations with respect to the staff of the institution have been addressed. State/Tribal agency shall provide procedures for any child care institution,</p>	<p>Not required until 10/1/18 unless a legislative delay or title IV-E waiver delay is approved by the Secretary</p> <p>See ATTACHMENT IX</p> <p>DCF Form CFS-354: https://dcf.wisconsin.gov/files/forms/doc/0354.docx</p> <p>DCF 52.12(3)</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>including a group home, residential treatment center, shelter, or other congregate care setting, to conduct criminal record checks, including fingerprint-based checks of national crime information databases (as defined in section 534(f)(3)(A) of title 28, United States Code), and checks described in subparagraph (B) of this paragraph, on any adult working child-care institution, including a group home, residential treatment center, shelter, or other congregate care setting, unless the State reports to the Secretary the alternative criminal records checks and child abuse registry checks the State conducts on any adult working in a child-care</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	institution, including a group home, residential treatment center, shelter, or other congregate care setting, and why the checks specified in this subparagraph are not appropriate for the State.	
471(a)(20)(B)	e. The State/Tribal agency shall check any child abuse and neglect registry for information on any prospective foster or adoptive parent and on any other adult living in the home of such a prospective parent for such information, before the prospective foster or adoptive parent may be finally approved for placement of a child, regardless of whether foster care maintenance payments or adoption assistance	DCFS Numbered Memo 07-16: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2007-16.pdf

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>payments are to be made on behalf of the child:</p> <ul style="list-style-type: none"> i. the State/Tribal agency shall check any child abuse and neglect registry it maintains for such information; ii. the State/Tribal agency shall request any other State/Tribe in which any such prospective parent or other adult has resided in the preceding 5 years, to check any child abuse and neglect registry maintained by such other State or Tribe for such information; and iii. the State/Tribal agency shall comply with any such request to check its child abuse and neglect registry that is received from another State or Tribe. 	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
471(a)(23)(A)&(B)	<p>K. INTERJURISDICTIONAL ADOPTIONS</p> <p>The State/Tribal agency will not:</p> <ol style="list-style-type: none"> 1. deny or delay the placement of a child for adoption when an approved family is available outside of the jurisdiction with responsibility for handling the case of the child; or 2. fail to grant an opportunity for a fair hearing, as described in section 471(a)(12), to an individual whose allegation of a violation of part (1) of this subsection is denied by the State/Tribal agency or not acted upon by the State/Tribal agency with reasonable promptness. 	<p>s. 48.99(5)</p> <p>DCF 51.09(1)</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
471(a)(22)	<p>L. QUALITY STANDARDS</p> <p>1. The State/Tribal agency has developed and implemented standards to ensure that children in foster care placements in public or private agencies are provided quality services that protect the safety and health of the children.</p>	<p>DCF 52.21(8) DCF 52.22(2) DCF 56.08 DCF 56.09 DCF 57.16 DCF 57.205 DCF 57.21</p>
471(a)(24)	<p>2. The State/Tribal agency will ensure that prospective foster parents are adequately trained with the appropriate knowledge and skills to provide for the needs of the child and that the preparation will be continued, as necessary, after the placement of the child and that the preparation shall include</p>	<p>DCF 56.12 DCF 52.23 <i>s. 48.383(2)</i> <i>s. 48.67(4)(1m)</i></p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>knowledge and skills relating to the reasonable and prudent parent standard for the participation of the child in age or developmentally-appropriate activities, including knowledge and skills relating to the developmental stages of the cognitive, emotional, physical, and behavioral capacities of a child, and knowledge and skills relating to applying the standard to decisions such as whether to allow the child to engage in social, extracurricular, enrichment, cultural, and social activities, including sports, field trips, and overnight activities lasting 1 or more days, and to decisions involving the signing of permission slips and</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	arranging of transportation for the child to and from extracurricular, enrichment, and social activities.	
471(a)(30)	<p>M. COMPULSORY SCHOOL ATTENDANCE</p> <p>The State/Tribal agency has a system for assuring that each child who has attained the minimum age for compulsory school attendance under State/Tribal law and with respect to whom there is eligibility for a payment under the plan is a full-time elementary or secondary school student or has completed secondary school, and for purposes of this paragraph, the term 'elementary or secondary school student' means, with</p>	<p>s. 48.38(4)(dg) and (dm)</p> <p>s. 118.15</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>respect to a child, that the child is--</p> <ol style="list-style-type: none"> 1. enrolled (or in the process of enrolling) in an institution which provides elementary or secondary education, as determined under the law of the State or other jurisdiction in which the institution is located; 2. instructed in elementary or secondary education at home in accordance with a home school law of the State or other jurisdiction in which the home is located; 3. in an independent study elementary or secondary education program in accordance with the law of the State or other jurisdiction in which the program is located, which is 	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>administered by the local school or school district; or</p> <p>4. incapable of attending school on a full-time basis due to the medical condition of the child, which incapability is supported by regularly updated information in the case plan of the child.</p>	
471(a)(27)	<p>N. VERIFICATION OF CITIZENSHIP OR IMMIGRATION STATUS</p> <p>1. The State/Tribal agency will have in effect procedures for verifying the citizenship or immigration status of any child in foster care under the responsibility of the State/Tribal agency under title IV-E or part B, and without regard to whether foster care maintenance</p>	IV-E Policy Manual, Section 5.12

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	payments are made under section 472 on behalf of the child.	
472(a)(4)	2. For the purposes of meeting the requirements of the section 401(a) of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA), children must meet the definition of "qualified alien" (as defined in section 431(b) of PRWORA) to be eligible for Federal foster care maintenance or adoption assistance (except that children receiving adoption assistance pursuant to agreements signed before August 22, 1996 may continue to receive such assistance).	IV-E Policy Manual, Section 5.12

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
475(9), (10), (11) and (12)	<p>O. DEFINITIONS</p> <p>For the purposes of the titles IV-B and IV-E of the Act:</p> <p>1. The term 'sex trafficking victim' means a victim of-</p> <p>a. sex trafficking (as defined in section 103(10) of the Trafficking Victims Protection Act of 2000); or</p> <p>b. a severe form of trafficking in persons described in section 103(9)(A) of such Act.</p> <p>2. The term 'reasonable and prudent parent standard' means the standard characterized by careful and sensible parental decisions that maintain the health, safety, and best interests of a child while at the same time</p>	<p><i>s. 48.02(1)(cm)</i> <i>s. 948.051</i> <i>s. 48.02(6)</i> <i>s. 48.02(12r)</i> <i>s. 48.02(15d)</i> <i>s. 48.02(14r)</i> <i>s. 48.02(1dm)</i> <i>s. 48.383(1)</i> <i>s. 48.38(4)(br)1.</i></p> <p>DSP <i>Informational</i> Memo 16-03: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2016-03i.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>encouraging the emotional and developmental growth of the child, that a caregiver shall use when determining whether to allow a child in foster care under the responsibility of the State/Tribe to participate in extracurricular, enrichment, cultural, and social activities. In this context, 'caregiver' means a foster parent with whom a child in foster care has been placed or a designated official for a child care institution in which a child in foster care has been placed.</p> <p>3. The term 'age or developmentally-appropriate' means—</p> <p>a. activities or items that are generally accepted as suitable for children of the</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>same chronological age or level of maturity or that are determined to be developmentally-appropriate for a child, based on the development of cognitive, emotional, physical, and behavioral capacities that are typical for an age or age group; and</p> <p>b. in the case of a specific child, activities or items that are suitable for the child based on the developmental stages attained by the child with respect to the cognitive, emotional, physical, and behavioral capacities of the child.</p> <p>4. The term 'sibling' means individual who satisfies at least one of the following</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>conditions with respect to a child:</p> <p>a. The individual is considered by state/tribal law to be a sibling of the child.</p> <p>b. The individual would have been considered a sibling of the child under State/Tribal law but for a termination or other disruption of parental rights, such as the death of a parent.</p>	
471(a)(9)(C)	<p>P. SEX TRAFFICKING VICTIMS AND MISSING CHILDREN</p> <p>1. The State/Tribal agency has developed, in consultation with State and local law enforcement, juvenile justice, health care providers, education</p>	<p>Wisconsin Department of Children and Families Plan for the Preventing Sex Trafficking and Strengthening Families Act: Consultations with Stakeholders and Development of Policies and Procedures. https://dcf.wisconsin.gov/aht/taskforce</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>agencies, and organizations with experience in dealing with at-risk youth, policies and procedures (including relevant training for caseworkers) for identifying, documenting in agency records, and determining appropriate services for:</p> <p>a. any child or youth over whom the State/Tribal agency has responsibility for placement, care, or supervision and who the agency has reasonable cause to believe is, or is at risk or being, a sex trafficking victim (including children for whom an agency has an open case file but who have not been removed from the home, children who have run away from foster care and who have not attained 18 years</p>	<p><u>DSP Informational Memo Series 2015-24i Wisconsin Anti-Human Trafficking Response Plan (Issued January 2016)</u> https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2015-24i.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>of age or such older age as the State has elected under section 475(8) of the Act, and youth who are not in foster care but are receiving services under section 477 of the Act); and</p> <p>b. at the option of the State/Tribal agency, any individual who has not attained 26 years of age, without regard to whether the individual is or was in foster care under the responsibility of the agency;</p>	
471(a)(35)(B)	<p>2. For each child and youth described in 471(a)(9)(C)(i)(I) of the Act, the State/Tribal agency shall report immediately, and in no case later than 24 hours after receiving, information on missing or abducted children to the</p>	s. 48.47(7g)

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>law enforcement authorities for entry into the National Crime Information Center (NCIC) database of the Federal Bureau of Investigation, and to the National Center for Missing and Exploited Children.</p>	
<p>471(34)(A)</p>	<p>3. For each child or youth described in 471(a)(9)(C)(i)(I), the state/tribal agency shall report immediately, and in no case later than 24 hours after receiving information on children or youth who have been identified as being a sex trafficking victim, to local law enforcement.</p>	<p>s. 48.78(2m) s. 938.78(2m)</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	SECTION 5. GENERAL PROVISIONS	
471(a)(5)	<p>A. PERSONNEL ADMINISTRATION</p> <p>The State/Tribal agency will, in administration of its programs under this part, certify in Attachment VII that it established and will maintain personnel standards on a merit basis as found necessary by the Secretary for proper and efficient operation of the programs.</p>	see Attachment VII
471(a)(8)	<p>B. SAFEGUARDING INFORMATION</p> <p>1. Subject to section 471(c), the State/Tribal agency has safeguards restricting use of or disclosure of information concerning individuals assisted</p>	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	under this plan to purposes directly connected with:	
471(a)(8)(A)	a. the administration of the title IV-E plan or any of the plans or programs under Parts A, B or D of title IV or under titles I, V, X, XIV, XVI (as in effect in Puerto Rico, Guam, and the Virgin Islands), XIX or XX, or the supplemental security income program under title XVI; and	s. 46.016 s. 49.32(9), (10), (10m) s. 49.83
471(a)(8)(B)	b. any investigation, prosecution, or criminal or civil proceeding conducted in connection with the administration of any such plan or program; and	s. 46.016 s. 49.32(9), (10), (10m) s. 49.83
471(a)(8)(C)	c. the administration of any other Federal or federally assisted program which provides assistance (in-cash or in-kind)	s. 46.016 s. 49.32(9), (10), (10m) s. 49.83

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	or services directly to individuals on the basis of need; and	
471(a)(8)(D)	d. any audit or similar activity conducted in connection with the administration of any such plan or program by any governmental agency authorized by law to conduct such audit or activity;	s. 46.016 s. 49.32(9), (10), (10m) s. 49.83
471(a)(9)(A)	e. the disclosure of information pursuant to 471(a)(9)(A) to appropriate authorities with respect to known or suspected child abuse or neglect;	s. 46.016 s. 46.206(1)(bm) s. 49.83
471(a)(34)(A) and 471(a)(35)(B)	f. the disclosure of information pursuant to 471(a)(34)(A) to the appropriate authorities with respect to children or youth identified in 471(a)(9)(C)(i)(I) of the Act who have been identified as being a sex trafficking victim; and	s. 48.981(2)(a). s. 48.78(2m) DSP Informational Memo 2016-02: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2016-02.pdf

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	g. the disclosure of information pursuant to 471(a)(35)(B) to appropriate authorities with respect to children identified in 471(a)(9)(C)(i)(I) of the Act who are missing or abducted.	
471(a)(8)(E)	<p>2. The safeguards provided will prohibit disclosure to:</p> <p>a. any individuals or entities not included in paragraph 1 above; and</p> <p>b. any committee or legislative body (other than an agency referred to in section 471(a)(8)(D) with respect to an activity referred to in such clause) of any information which identifies by name or address any applicant for or recipient of assistance under title IV-E of the Act.</p>	<p>s. 46.016 s. 46.206(1)(bm) s. 49.83</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
471(a)(20)(B)(iii)	3. The State/Tribal agency shall have in place safeguards to prevent the unauthorized disclosure of information in any child abuse and neglect registry maintained by the State/Tribe, and to prevent any such information obtained pursuant to section 471(a)(20)(B) from being used for a purpose other than the conducting of background checks in foster and adoptive placement cases.	DCFS Numbered Memo 07-03: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2007-03.pdf
471(c)	4. In the use of child welfare records in court proceedings, section 471(a)(8) of the Act shall not be construed to limit the flexibility of a State/Tribe in determining policies relating to public access to court proceedings to determine child abuse and neglect or other court hearings held pursuant to title IV-B or title IV-E of the Act, except that such policies shall,	s. 48.299(1)

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	at a minimum, ensure the safety and well-being of the child, parents, and family.	
471(a)(6)	<p>C. REPORTING</p> <p>The State/Tribal agency makes reports in such form and containing such information on the title IV-E program as are required by the Secretary of the Department of Health and Human Services (HHS), and the State/Tribal agency will comply with such provisions as the Secretary may from time to time find necessary to assure the correctness and verification of such reports.</p>	<p>s. 46.016 s. 46.206(1)(b) s. 49.83</p>
471(a)(7)	<p>D. MONITORING</p> <p>The State/Tribal agency monitors and conducts evaluations of activities carried out in the title IV-E program.</p>	<p>State and County Contracts for Social Services and Community Programs: https://dcf.wisconsin.gov/w2/partners/contract-resources</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
1355.30	<p>E. APPLICABILITY OF DEPARTMENT-WIDE REGULATIONS</p> <p>The State/Tribal agency will comply with all of the requirements of applicable regulations.</p>	s. 46.016
13565.21(c)	<p>F. AVAILABILITY OF PLANS</p> <p>Plans and amendments for titles IV-E and IV-B are made available by the State/Tribal agency for public review and inspection.</p>	<p>s. 19.31</p> <p>Under IV-E Policy: https://dcf.wisconsin.gov/title4e</p> <p>IV-B State Plan: https://dcf.wisconsin.gov/w2/researchers/state-plans</p>
1355.33(b) 1355.33(e) 1355.35(a)	<p>G. OPPORTUNITY FOR PUBLIC INSPECTION OF CFSR MATERIALS</p> <p>(Not applicable to Tribes.)</p> <p>The State agency makes available for public review and inspection all statewide</p>	<p>ASPR: https://dcf.wisconsin.gov/files/cqi-cfsr/pdf/plans/aprs-draft.pdf</p> <p>CFSR Website: https://dcf.wisconsin.gov/cfsr</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>assessments, report of findings, and program improvement plans developed as a result of a full or partial child and family services review.</p>	
471(a)(32)	<p>H. NEGOTIATION WITH INDIAN TRIBES.</p> <p>(Not applicable to Tribes.)</p> <p>The State negotiates in good faith with any Indian tribe, tribal organization or tribal consortium in the State that requests to develop an agreement with the State to administer all or part of the program on behalf of Indian children who are under the authority of the tribe, organization, or consortium, including foster care maintenance payments on behalf of children who are placed in State or tribally licensed foster family homes, adoption assistance payments,</p>	<p>DCF Informational Memo 2015-03: https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2015-03.pdf</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	and, if the State has elected to provide such payments, kinship guardianship assistance payments under section 473(d), and tribal access to resources for administration, training, and data collection under title IV-E.	

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	SECTION 6. GUARDIANSHIP ASSISTANCE PROGRAM OPTION	
473(d)(3)(A) 473(d)(3)(C)	<p>A. ELIGIBILITY</p> <p>1. A child is eligible for a kinship guardianship assistance payment if the State/Tribal agency determines that:</p> <p>a. the child has been--</p> <p style="padding-left: 40px;">i. removed from his or her home pursuant to a voluntary placement agreement or as a result of a judicial determination to the effect that continuation in the home would be contrary to the welfare of the child; and</p> <p style="padding-left: 40px;">ii. eligible for foster care maintenance payments under section 472 while residing for at least 6 consecutive months in the home of the prospective relative guardian;</p> <p>b. being returned home or adopted are not appropriate permanency options for the child;</p> <p>c. the child demonstrates a strong attachment to the prospective relative guardian and the relative guardian has a strong commitment to caring permanently for the child; and</p>	<p>s. 48.623(1)(a) 1 - 5</p> <p>IV-E Policy Manual, Section 14.1</p> <p>DCF 55.03(1)(a)</p> <p>s. 48.623(2)</p> <p>s. 48.623(6)(bm)</p> <p>DCF 55.125</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>d. with respect to a child who has attained 14 years of age, the child has been consulted regarding the kinship guardianship arrangement; or</p> <p>2. The child has been placed with a successor guardian named in the guardianship agreement in accordance with 473(d)(3)(C).</p>	
473(d)(3)(B)	<p>3. Siblings.</p> <p>a. The child and any sibling of the eligible child may be placed in the same kinship guardianship arrangement, in accordance with section 471(a)(31), if the State/Tribal agency and the relative agree on the appropriateness of the arrangement for the siblings; and</p> <p>b. Kinship guardianship assistance payments may be paid on behalf of each sibling so placed.</p>	<p>48.623(1) DCF 55.03(2)</p>
471(a)(28)	<p>B. PAYMENTS</p> <p>1. The State/Tribal agency provides kinship guardianship assistance payments on behalf of children to grandparents and other relatives who assume legal guardianship of the child for whom they have cared as foster parents and for whom they have committed to care on a permanent basis, as provided in 473(d).</p>	<p>DCF 55.03(1)(b) IV-E Policy Manual, Section 14.1</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
473(d)(2)	2. A kinship guardianship assistance payment on behalf of a child shall not exceed the foster care maintenance payment which would have been paid on behalf of the child if the child had remained in a foster family home.	DCF 55.07(2)(a) 48.623(3)(b)
473(a)(4)(A)	<p>3. Payments are terminated when the State/Tribal agency determines that:</p> <p>a. the child has attained the age of 18, or such greater age as the State/Tribal agency may elect under section 475(8)(B)(iii); or</p> <p>b. the child has attained 21 years of age, and the child has a mental or physical disability which warrants the continuation of assistance to age 21; or</p> <p>c. the child has not attained 18 year of age, and the relative guardians are no longer legally responsible for the support of the child; or</p> <p>d. the child is no longer receiving any support from the relative guardians.</p>	<p>DCF 55.10(5)(a)</p> <p>IV-E Policy Manual, Section 14.1.4</p> <p>DSP Numbered Memo 2014-06, “Policy and Procedure Requirements” section, p. 2</p> <p>https://dcf.wisconsin.gov/files/cwp/ortal/policy/pdf/memos/2014-06.pdf</p>
473(a)(4)(B)	4. The relative guardians are required to inform the State/Tribal agency of circumstances that would make them ineligible for guardianship assistance payments or	DCF 55.06(2)(h)

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	eligible for guardianship assistance payments in a different amount.	IV-E Policy Manual, Section 14.1.4.1
473(d)(1)(A)	<p>C. Agreements</p> <p>1. The State/Tribe must:</p> <p>a. negotiate and enter into a written, binding kinship guardianship assistance agreement with the prospective relative guardian of a child who meets the requirements of 473(d); and</p> <p>b. provide the prospective relative guardian with a copy of the agreement.</p>	<p>48.623(2)(a) - (d)</p> <p>DCF 55.06</p>
473(d)(1)(B) & 473(d)(1)(C)	<p>2. The agreement must specify, at a minimum-</p> <p>a. the amount of, and manner in which, each kinship guardianship assistance payment will be provided under the agreement, and the manner in which the payment may be adjusted periodically, in consultation with the relative guardian, based on the circumstances of the relative guardian and the needs of the child;</p>	<p>48.623(2)(a) - (d)</p> <p>DCF 55.06</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>b. the additional services and assistance that the child and relative guardian will be eligible for under the agreement;</p> <p>c. the procedure by which the relative guardian may apply for additional services as needed;</p> <p>d. that the State/Tribal agency will pay the total cost of nonrecurring expenses associated with obtaining legal guardianship of the child, to the extent the total cost does not exceed \$2,000; and</p> <p>e. that the agreement shall remain in effect without regard to the State/Tribal service area residency of the relative guardian.</p>	
471(a)(20)(C)	<p>D. SAFETY</p> <p>State/Tribal agency provides procedures for criminal records checks, including fingerprint-based checks of national crime information databases (as defined in section 534(f)(3)(A) of title 28, United States Code), on any relative guardian, and for checks described in 471(a)(20) on any relative guardian and any other adult living in the home of any relative guardian, before the relative guardian may receive kinship guardianship</p>	<p>DHS 12.01</p> <p>DCF 55.13</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	assistance payments on behalf of the child under this plan option.	
473(b)(1) to (4); 479B(c)(1)(C)(ii)(II)	<p>E. MEDICAID AND SOCIAL SERVICES</p> <p>For the purposes of titles XIX and XX, any eligible child for whom there is a kinship guardianship assistance payment being made under section 473(d) is deemed to be a dependent child as defined in 406 of the Act and is deemed to be a recipient of AFDC under part A of title IV of the Act (as in effect 7/16/96) in the State in which such child resides.</p>	DCF 55.06(2)(f)1.
471(a)	<p>F. TITLE IV-E GUARDIANSHIP ASSISTANCE PROGRAM PLAN REQUIREMENTS</p> <p>1. Title IV-E plan requirements 471(a)(2) through (9), (12), (13), (20)(C), (25), (26), and (28) through (32) are applicable to the guardian assistance program.</p>	<p>s. 13.94 s. 46.016 s. 46.206(1)(b), (bm) s. 46.03(7)(a) s. 48.21(5)(e) s. 48.355 (2)(b)6p s. 48.357(2v)(d) s. 48.38(4)(dg), (dm) s. 48.48(2) s. 48.64(4)(a) s. 48.98(2), (2m) s. 48.988 s. 48.989</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
		<p>s. 49.32(9), (10), (10m) s. 118.15 s. 938.01(1), (2)</p> <p>DHS 12.01 DCF 55.03 DCF 55.11 DCF 55.13</p> <p>DCFS Informational Memo 08-02 https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2008-02.pdf</p> <p>Memo from Secretary's Office to Tribal Chairperson</p> <p>State/County Contract</p> <p>Legislative Audit Bureau State of Wisconsin Single Audit (Annual): https://legis.wisconsin.gov/</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
		lab/audit-reports/reports-by-year/
475(1)(F)	<p>2. Case plan requirements.</p> <p>For a child with respect to whom the permanency plan is placement with a relative and receipt of kinship guardian assistance payments, the State/Tribal agency shall include in the case plan a description of:</p> <ul style="list-style-type: none"> a. the steps that the agency has taken to determine that it is not appropriate for the child to be returned home or adopted; b. the reasons for any separation of siblings during placement; c. the reasons why a permanent placement with a fit and willing relative through a kinship guardianship assistance arrangement is in the child's best interests; d. the ways in which the child meets the eligibility requirements for a kinship guardianship assistance payment; e. the efforts the State/Tribal agency has made to discuss adoption by the child's relative foster parent as a more 	48.38(4)(j)

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>permanent alternative to legal guardianship and, in the case of a relative foster parent who has chosen not to pursue adoption, documentation of the reasons; and</p> <p>f. the efforts made by the State/Tribal agency to discuss with the child's parent or parents the kinship guardianship assistance arrangement, or the reasons why the efforts were not made.</p>	
475(8)	<p>G. DEFINITION OF 'CHILD'</p> <p>For the purposes of the title IV-E guardianship assistance program under section 473(d), the term 'child' means</p> <ol style="list-style-type: none"> 1. an individual who has not attained 18 years of age; or 2. at the option of the State/Tribal agency an individual <ol style="list-style-type: none"> a. with respect to whom a guardianship assistance agreement is in effect under section 473(d) if the individual had attained age 16 before the guardianship assistance agreement became effective; 	<p>s. 48.02 (2)</p> <p>s. 38.366</p> <p>DCF 55.02(3)</p> <p><i>Extension to 21:</i> DSP numbered Memo 2014-06, pp. 1-2 https://dcf.wisconsin.gov/files/cwportal/policy/pdf/memos/2014-06.pdf</p> <p>DCF 55.10(4)</p> <p>IV-E Policy Manual, Appendix B – Glossary: "Child"</p>

Federal Regulatory/ Statutory References	Requirement	State/Tribe Regulatory, Statutory, and Policy References and Citations for Each
	<p>b. who has attained the age of 18, but has not attained 19, 20 or 21 years of age, as the title IV-E agency may elect; and</p> <p>c. who meets any of the following conditions:</p> <ul style="list-style-type: none"> i. the child is completing secondary education or a program leading to an equivalent credential; ii. the child is enrolled in an institution which provides post-secondary or vocational education; iii. the child is participating in a program or activity designed to promote, or remove barriers to, employment; iv. the child is employed for at least 80 hours per month; or v. the child is incapable of doing any of the above described activities due to a medical condition. 	

Federal Regulatory/ Statutory References	Requirement	Regulatory, Statutory, and Policy References and Citations for Each
	SECTION 7. TRIBE OPERATED IV-E PROGRAM REQUIREMENTS	
471(a) 479B(b)	<p>A. GENERAL PROGRAM REQUIREMENTS</p> <p>Title IV-E plan requirements 471(a)(1) through (27), (29) through (31), and (33) through (375) are mandatory requirements applicable to Tribes operating a title IV-E program.</p>	Not Applicable
479B(c)(1)(B)	<p>B. SERVICE AREA AND POPULATIONS</p> <p>For purposes of complying with section 471(a)(3), this plan is in effect in all service areas and for all populations served by the Tribe and identified in Attachment V.</p>	Not Applicable
479B(c)(1)(C)(ii)	<p>C. NUNC PRO TUNC AND FOSTER CARE ELIGIBILITY REQUIREMENTS</p> <p>For purposes of determining whether a child whose placement and care are the responsibility of an Indian tribe, tribal organization, or tribal consortium satisfies</p>	Not Applicable

Federal Regulatory/ Statutory References	Requirement	Regulatory, Statutory, and Policy References and Citations for Each
	<p>the requirements of section 472(a), the following shall apply:</p> <ol style="list-style-type: none"> 1. Notwithstanding 472(a)(1), Tribes may use affidavits or nunc pro tunc orders as verification documents in support of the reasonable efforts and contrary to the welfare of the child judicial determinations required under that paragraph for the first 12 months for which this plan is in effect. 2. The State plan approved under section 402 (as in effect on July 16, 1996) of the State in which the child resides at the time of removal from the home shall apply to the determination of whether the child satisfies section 472(a)(3). 	
<p>479B(c)(2)</p> <p>471(a)(36)</p>	<p>D. LICENSING STANDARDS FOR TRIBAL FOSTER FAMILY HOMES AND CHILD CARE INSTITUTIONS</p> <p>For purposes of complying with section 471(a)(10), an Indian Tribe, Tribal organization, or Tribal consortium shall establish and maintain a tribal authority or authorities which shall be responsible for establishing and maintaining tribal licensing standards for tribal</p>	<p>Not Applicable</p>

Federal Regulatory/ Statutory References	Requirement	Regulatory, Statutory, and Policy References and Citations for Each
	foster family homes and tribal child care institutions in accordance with section 471(a)(36) of the Act.	

Title IV-E Plan – State/Tribe of WISCONSIN

PLAN SUBMISSION CERTIFICATION

Instructions: This Certification must be signed and submitted by the official authorized to submit the title IV-E plan, each time the state/tribal submits an amendment to the title IV-E plan.

I Eloise Anderson (name) hereby certify that I am authorized to submit the title IV-E Plan on behalf of Department of Children and Families (State/Tribal Agency). I also certify that the title IV-E plan was submitted to the governor or tribal leader for his or her review and approval in accordance with 45 CFR 1356.20(c)(2) and 45 CFR 204.1.

Date 10.29.18

Eloise Anderson
(Signature)

Secretary
(Title)

APPROVAL
DATE 11/02/2018

EFFECTIVE
DATE: 7/01/2018

Joseph Bock for Jerry Milner
(Signature, Associate Commissioner, Children's Bureau)

Title IV-E Plan – State/Tribe of _____

**STATE ATTORNEY GENERAL OR APPROPRIATE TRIBAL
OFFICIAL'S CERTIFICATION
TITLE IV-E of the SOCIAL SECURITY ACT**

Instructions: This Certification must be signed and submitted by the State Attorney General or appropriate Tribal Official in accordance with 45 CFR 1355.30(p) and 45 CFR 205.100, and will remain in effect on an ongoing basis. The Certification must be re-submitted if there is a change in any of the certifications below, or the statutory authority.

I certify that _____
(Name of Agency)

- a. has the authority to submit the plan under title IV-E of the Social Security Act; and
- b. is the single title IV-E agency designated to administer the plan or supervise the administration of the plan on a state-wide or tribal service-area-wide basis in accordance with _____ (citation for legal authority). It has the authority to make rules and regulations governing the administration of the plan that are binding on such subdivisions/service areas. The title IV-E plan is mandatory upon the subdivisions/service areas and is in effect throughout the State/Tribal service areas.

(Date)

(Signature)

TITLE IV-E ADOPTION ASSISTANCE PROGRAM SAVINGS REPORTING ASSURANCES

Instructions: Once this Certification is signed and submitted, it remains in effect on an ongoing basis. The Certification must be re-submitted if there is a change in any of the certifications below.

I hereby assure that the title IV-E agency administering the title IV-E programs will:

a. calculate the savings (if any) resulting from the application of paragraph 473(a)(2)(A)(ii) to all applicable children for a fiscal year, using a methodology specified by the Secretary or an alternate methodology proposed by the agency and approved by the Secretary.

b. annually report to the Secretary—

- the methodology used to make the calculation, without regard to whether any savings are found;
- the amount of any savings; and
- how such savings are spent, accounting for and reporting the spending separately from any other spending reported to the Secretary under part B or this part.

c. spend an amount equal to the amount of the savings (if any) in agency expenditures under this part resulting from the application of paragraph 473(a)(2)(A)(ii) to all applicable children for a fiscal year, to provide to children of families any service that may be provided under part B or this part. A title IV-E agency shall spend not less than 30 percent of any such savings on post-adoption services, post-guardianship services, and services to support and sustain positive permanency outcomes for children who otherwise might enter into foster care under the responsibility of the state or tribe, with at least 2/3 of the spending by the state or tribe to comply with such 30 percent requirement being spent on post-adoption and post-guardianship services.

d. use any title IV-E agency spending of any savings calculated from the application of paragraph 473(a)(2)(A)(ii) to all applicable children for a fiscal year to supplement, not supplant, any Federal or non-

Federal funds used to provide any service under part B or this part.

On behalf of _____
(Designated State Agency)

Date _____
_____ (Signature)

(Title)

APPROVAL
DATE _____

EFFECTIVE DATE: _____

(Signature, Associate Commissioner, Children's
Bureau)

TRIBAL ASSURANCES

Instructions: Once this Certification is signed and submitted, it remains in effect on an ongoing basis.

I hereby assure that the Tribal agency administering the title IV-E programs will provide:

- a) foster care maintenance payments under section 472 only on behalf of children who satisfy the eligibility requirements of section 472(a);
- b) adoption assistance payments under section 473 pursuant to adoption assistance agreements only on behalf of children who satisfy the eligibility requirements for such payments under that section;
- c) if applicable, kinship guardianship assistance payments in accordance with section 473(d) only on behalf of children who meet the requirements of section 473(d)(3); and
- d) the State plan approved under section 402 in effect on July 16, 1996, of the State in which the child resides at the time of the removal from the home shall apply to the determination of whether the child satisfies section 472(a)(3).

On behalf of _____ Date _____
(Designated Tribal Agency)

(Signature)

(Title)

APPROVAL
DATE _____

EFFECTIVE DATE:

(Signature, Associate Commissioner, Children's
Bureau)

Title IV-E Plan - Tribe of _____

**479B TRIBAL CERTIFICATIONS
TITLE IV-E of the SOCIAL SECURITY ACT**

Instructions: Once this Certification is signed and submitted, it remains in effect on an ongoing basis. The Certification must be re-submitted if there is a change in any of the certifications below.

I certify that _____
(Name of Indian Tribe, Tribal organization or Tribal consortium)

- a. Is submitting the following/attached evidence which demonstrates that there are no uncorrected significant or material audit exceptions under Federal grants or contracts that directly relate to the administration of social services for the three year period prior to the date on which this plan is submitted in compliance with section 479B(c)(1)(A);
- b. Will administer the title IV-E plan in the service area(s) and populations described as follows/attached pursuant to section 479B(c)(1)(B);
- c. Is/Is not (**circle one**) providing the following/attached information based on the described service population which is relevant to making the calculation of the per capita income of the Indian Tribe, Tribal organization, or Tribal consortium pursuant to section 479B(d)(2);

(Date)

(Signature)

Title IV-E Plan – State/Tribe of _____

**Section 475(8) STATE/TRIBAL CERTIFICATION
TITLE IV-E of the SOCIAL SECURITY ACT**

Instructions: Once this Certification is signed and submitted, it remains in effect on an ongoing basis. The Certification must be re-submitted if there is a change in the certification below.

I certify that _____
(Name of Agency/Tribe)

has chosen to implement the option in section 475(8)(B) of title IV-E of the Social Security Act to adopt **a definition of "child" of age 18, 19 or 20** for the title IV-E programs for the following reason(s), described below:

(Date)

(Signature)

Title IV-E Plan – State/Tribe of _____

**STATE/TRIBAL CERTIFICATION
PERSONNEL ADMINISTRATION**

Instructions: This Certification may be used to satisfy requirements at section 471(a)(5) of the Act, and will remain in effect on an ongoing basis. The Certification should be re-submitted if there is a change in any of the certifications below.

I certify that _____
(Name of Agency/Tribe)

has established and will maintain methods of personnel administration in conformity with standards for a Merit System of Personnel Administration, prescribed in title 5 CFR 900 by the U.S. Office of Personnel Management pursuant to section 208 of the Intergovernmental Personnel Act of 1970, as amended.

The signature of the chief executive officer of a State or Indian Tribe on this certification of agreement below, to maintain a system of personnel administration in conformance with these Standards, satisfies applicable Federal merit personnel requirements in section 471(a)(5) of title IV-E of the Social Security Act to which personnel standards on a merit basis are applicable (5 CFR 900.604).

(Date)

(Signature)

Title IV-E Plan – State/Tribe of _____

**STATE/TRIBAL CERTIFICATION
PREVENTING INCREASES TO THE JUVENILE JUSTICE POPULATION**

Instructions: This Certification may be used to satisfy requirements at section 471(a)(37) of the Act, and will remain in effect on an ongoing basis.

I certify that _____
(Name of Agency/Tribe)

in response to the limitation imposed under section 472(k) with respect to foster care maintenance payments made on behalf of any child who is placed in a setting that is not a foster family home, will not enact or advance policies or practices that would result in a significant increase in the population of youth in the State's/Tribe's juvenile justice system.

The title IV-E agency: *(choose one of the following)*

is **not** implementing a delayed effective date; or

is implementing a delayed effective date of _____, during which time, the title IV-E agency will not claim FFP for title IV-E prevention services under section 474(a)(6) of the Act.

Not effective until 10/1/19, unless the title IV-E agency elects a delayed effective date

(Date)

(Signature)

Title IV-E Plan – State/Tribe of _____

**Family First Prevention Services Act:
Alternate Procedures for Criminal Background Checks**

Instructions: If an agency has chosen to use alternative procedures for criminal record checks, the agency may use this form to satisfy requirements at section 471(a)(20) of the Act, and will remain in effect on an ongoing basis.

I certify that _____
(Name of Agency/Tribe)

has chosen to use alternative procedures for fingerprint-based criminal records checks of national crime information databases, and child abuse and neglect registry checks on any adult working in a child care institution. The procedures required in section 471(a)(20) of the Act for the checks are not appropriate for the agency for the reasons described below:

Not effective until 10/1/18

(Date)

(Signature)

Title IV-E Plan – State/Tribe of _____

Family First Prevention Services Act: Deviation from Model Licensing Standards and Waivers for Foster Family Homes

Instructions: This form may be used to satisfy requirements at sections 471(a)(10) and (36) of the Act, and will remain in effect on an ongoing basis.

I certify that _____ :

(Name of Agency/Tribe)

1. has licensing standards are **not** in accord with model standards identified by the Secretary. The reason for the specific deviation and a description as to why having a standard that is reasonably in accord with the corresponding national model standards is not appropriate for the title IV-E agency is described below:

(Write "N/A" if licensing standards are in accord with model standards identified by the secretary)

2. has either: *(choose one of the following)*

elected to waive standards established in 471(a)(10)(A) for relative foster family homes pursuant to waiver authority provided by 471(a)(10)(D), and provides the following description of which standards the agency most commonly waives, how caseworkers are trained to use the waiver authority and whether the agency has developed a process or provided tools to assist caseworkers in waiving non-safety standards per the authority provided in 471(a)(10)(D) to quickly place children with relatives:

not elected to waive the standards established in 471(a)(10)(A) and (D), for the following reason(s):

Not effective until 04/01/19 unless delay is approved by the Secretary

(Date)

(Signature)

Title IV-E Plan – State/Tribe of _____

STATE/TRIBAL REQUEST FOR “QUALIFIED INDIVIDUALS” WAIVER AND REQUEST FOR ALTERNATE ACCREDITING ORGANIZATION

Instructions: This request and certification must be used if a title IV-E agency requests: (1) a waiver to allow “qualified individuals” with responsibility for performing the assessments under section 475A(c)(1)(A), to be employees of the agency and/or connected to or affiliated with any placement setting in which children are placed by the by the agency per section 475A(c)(1)(D)(ii) of the Act; and/or (2) the Secretary approve any other independent, not-for-profit accrediting organization of a similar type and kind as those identified in the Act.

The _____ (Name of Agency) requests:

(check each box that applies)

- A waiver to allow “qualified individuals” with responsibility for performing the assessments under section 475A(c)(1)(A) of the Act to be employees of the agency and/or connected to or affiliated with any placement setting in which children are placed by the by the agency per section 475A(c)(1)(D)(ii) of the Act. Pursuant to this request, I certify the title IV-E agency will ensure that such qualified individuals will maintain objectivity with respect to determining the most effective and appropriate placement for a child in accordance with 475A(c)(1)(D)(ii) of the Act.**
- The Secretary approve _____ (Name of Accrediting Organization) as an independent, non-for-profit accrediting organization for purposes of section 472(k)(4)(G) of the Act, and has attached documentation supporting such request.

Not effective until 10/1/19, unless the title IV-E agency elects a delayed effective date

_____ (date) _____ (signature)

CB APPROVAL DATE _____ EFFECTIVE DATE: _____

(Signature, Associate Commissioner, Children's Bureau)