

Annual Family Treatment Court Grant Program 2023 Progress Report

Division of Safety & Permanence
Bureau of Safety & Well-Being

September 2024



In compliance with 2017 Wisconsin Act 202, following is DCF's 2023 annual report, which fulfills the Department's reporting requirements under **Section 48.546 (6), Wis. Stats.** by serving as both an analysis of the data gathered under **Section 48.546 (5), Wis. Stats.**, and a progress report intended to evaluate the effectiveness of the family treatment court grant program.

Introduction

[2017 Wisconsin Act 202](#) authorized grants to be administered by the Department of Children and Families (DCF) to counties or tribes to enable them to oversee the establishment and operation of family treatment courts. [2017 Wisconsin Act 261](#) appropriated \$250,000 general purpose revenue funding per year to be administered by DCF for the operation of the family treatment court grant program.

Calendar year 2023 marked the fifth full year that grant funding was allocated to two programs: Dunn County Family Treatment Court and Rock County Family Recovery Court. Both programs began implementation in late 2019, and both programs will continue to receive this funding through 2024.

Family Treatment Court Grants

In 2018, DCF issued [DSP Information Memo Series 2018-38i](#) to notify counties and tribes of the availability of funding to establish two new treatment courts and explained the application process. Eligible applicants were counties and tribes seeking to establish and operate evidence-based Family Treatment Court programs.

Grant monies were awarded through a competitive application process to two local child welfare agencies, Dunn County Department of Human Services, which serves a child population of approximately 8,872, and Rock County Human Services Department, which serves a child population of approximately 37,701.

Grantees were required to develop intake and court procedures that screen, assess, and provide dispositional alternatives for parents whose children have come under the jurisdiction of the court. Additionally, program objectives should result in improved child well-being and the welfare of participants' families by meeting the comprehensive needs of participants and promoting family reunification wherever possible, as stated in [48.546, Wis. Stats.](#), Family Treatment Court Grant Program.

Programs funded included the following components:

- An oversight committee to advise the county or tribe in developing, implementing, administering, and evaluating its program.
- Provision of services to program participants that are consistent with evidence-based practices in treatment services needed by those participants, including substance abuse treatment services, mental health treatment services, and intensive case management services.
- Provision of a multidisciplinary screen as described in s. [48.547 \(3\)](#) for program participants.
- A holistic and trauma-informed approach to the treatment of program participants.
- Integration of all services provided to program participants by local government agencies and other organizations.
- Submission of data as requested by DCF.

Family Treatment Court Contracts

Initial grants were awarded to each recipient for a contract term of two years, spanning from December 1, 2018, through December 31, 2020. Based on availability of funding, satisfactory performance, and by mutual agreement of DCF and the grantees, each contract was eligible for renewal for up to two (2)

additional one-year periods; any additional contract renewals are subject to DCF review and approval. DCF approved an additional contract renewal through 2023 and a final contract renewal for 2024.

The award amounts to each of these counties for the establishment and operation of the family treatment courts was \$687,500. This amount included funding for two years of programming and additional money to support start-up planning and funding. The table below details each county's initial start-up and first year funding, followed by four contract renewals in 2020, 2021, 2022, and 2023, as well as the total funding.

Table 1: Program Funding

Grantee	Start-up Funding*	Year 1 Funding (2019)	Year 2 Funding (2020)	Year 3 Funding (2021)	Year 4 Funding (2022)	Year 5 Funding (2023)	Total Award
Dunn	\$62,500	\$125,000	\$125,000	\$125,000	\$125,000	\$125,000	\$687,500
Rock	\$62,500	\$125,000	\$125,000	\$125,000	\$125,000	\$125,000	\$687,500
Total	\$125,000	\$250,000	\$250,000	\$250,000	\$250,000	\$250,000	\$1,375,000

**Available to each county to support start-up planning process; funds must be utilized 12/1/2018 through 12/31/2019.*

Program Oversight Committee

Both grant recipient counties continue to maintain an oversight committee, in compliance with [Section 48.546\(4\), Wis. Stats.](#) The oversight committees oversee the programs' operations and assists in making final decisions regarding any program changes, such as updates to a program's phase system. See [Appendix 1](#) for a list of each program's oversight committee members.

Program Participant Criteria

In compliance with [Section 48.546 \(3\)\(a\), Wis. Stats.](#) both Dunn County and Rock County have established criteria for a person's participation in each agency's Family Treatment Court programs. Local agencies may modify their program participant criteria when appropriate and with approval of DSP. [Appendix 2](#) provides more detailed information regarding each program's participant criteria.

Service Provision

Both grant recipient counties identified services to be provided to program participants that are consistent with evidence-based practices in treatment services needed by those participants, in compliance with [Section 48.546 \(3\)\(b\), Wis. Stats.](#)

Dunn County Family Treatment Court Program

The Dunn County Family Treatment Court (FTC) operates under the Adult Drug Court Best Practice Standards, the Wisconsin Treatment Court Standards, and the Family Treatment Court Best Practice Standards. In the program's provision of services, it contracts with a treatment provider, Arbor Place, Inc., that operates under the Matrix Model, an evidence-based treatment model. This treatment provider offers a service array comprised of many different evidence-based therapies, including Multi-Dimensional Family Therapy (MDFT), Family Centered Treatment (FCT), Trauma-Focused Cognitive Behavior Therapy (TF-CBT), Dialectical Behavior Therapy (DBT), and Moral Reconciliation Therapy (MRT).

Rock County Family Recovery Court Program

The Rock County Family Recovery Court (FRC) operates under the Adult Drug Court Best Practice Standards, the Wisconsin Treatment Court Standards, and the Children and Family Futures (CFF) Drug Court Guidelines. In the program's provision of services, intensive case management services are provided through a Rock County Human Services Department Family Treatment Court Case Manager, utilizing the Functional Family Case Management (FFCM) evidence-based model. One of the case manager's duties is to identify and connect program participants with service providers who operate consistent with evidence-based practices and demonstrate fidelity to their chosen models.

Multidisciplinary Assessment Screen

Both grant recipient counties identified the following tools for the provision of a multidisciplinary screen, in compliance with [Section 48.546 \(3\)\(c\), Wis. Stats.](#) Dunn County uses the North Carolina Family Assessment Scale (Family Dysfunction) and the Gain Short Screen (Mental Health & Criminality). Rock County uses the Child and Adolescent Needs and Strengths (CANS), the Level of Service Inventory – Revised (LSI-R), and the American Society of Addiction Medicine (ASAM).

Use of Trauma-Informed Approaches

Both grant recipient counties ensured the provision of a holistic and trauma-informed approach to the treatment of program participants, in compliance with [Section 48.546 \(3\)\(d\), Wis. Stats.](#) as follows:

Dunn County Treatment Court Program

Courtroom Process and Environment

During family treatment court hearings in Dunn County, the courtroom is non-adversarial, and program participants are given a minimum of three minutes of time with the judge. Participants are treated with respect and empowered by the judge to engage actively in recovery. Responses to a participant's behavior are determined and communicated to the participant only after a treatment team staffing has taken place.

Dunn County Behavioral Health staff are fluent in the concepts of person-centered practice and recovery, and the county is committed to educating its child protective services (CPS) staff on the core elements of consumer self-determination, the use of natural supports, the importance of lived experience, and the way in which trauma factors into gender responsive services.

The county is further committed to building a trauma-informed environment across the continuum of care, including the justice system, through system-wide trainings aimed at becoming a trauma-informed community.

Agency Roles and Responsibilities

One of the roles of the Dunn County Family Treatment Court Coordinator is to identify resources and to respond to the full range of needs of program participants as determined by the assessment tools employed. The coordinator then develops comprehensive, coordinated plans that utilize a wide range of evidence-based service providers. Wrap-around services may include substance abuse treatment, medically assisted treatment (MAT), mental health treatment, family counseling, domestic violence treatment, health, and dental services, parenting classes, relapse prevention, gender-specific services, and services to address trauma exposure.

Once services have been identified, a treatment team is assembled, and consists of staff who provide direct services to the participants, child(ren), and families in the program. Other key team members include the judge, the District Attorney, attorney or advocate for the participant, attorney or advocate for the child(ren), CPS professionals, treatment providers, and dependent upon the participant, child(ren), and family needs, domestic violence program representatives, probation officers, and juvenile justice staff.

Team members attend pre-court staffing meetings and court review hearings and share participant progress information in a timely way in order to allow for the use of incentives and sanctions to effectively shape behavior focused on family recovery.

Rock County Family Recovery Court Program

Courtroom Process and Environment

Rock County Family Recovery Court program is structured in such a way as to ensure that the judge affirms the expectations of the program with program participants. Responses to participants' behavior are goal-oriented, consistent, immediate, fair, individualistic, and therapeutically sound. During hearings, participants can speak directly with the judge, and the judge meets with each participant for a minimum of three minutes to discuss the participant's progress and offer encouragement. The judge also allows time for participants to offer their perspective on how they are progressing in the program, their child welfare case(s), and their recovery.

Agency Roles and Responsibilities

Child protective services professionals are required to continue their education in trauma-informed care by attending trauma trainings offered through Rock County. All treatment providers are trauma-trained and trauma-focused in their service delivery.

Each participant has an individualized case plan used to link the participant to service providers within the county, in order to meet the needs of the participant as identified in the Child and Adolescent Needs and Strengths (CANS) assessment. Additionally, each participant's meets individually with their assigned CPS professional and therapist on a monthly basis, or together as part of a family team meeting to discuss case progress, barriers, and recovery, in order to ensure timely and effective collaboration and coordination of services.

Service Integration

Both grant recipient counties have put systems into place to ensure integration of all services provided to program participants, in compliance with [Section 48.546 \(3\)\(e\)](#), Wis. Stats.

Dunn County Family Treatment Court Program

While family treatment court hearings take place on an alternating week basis in Dunn County, each program participant's team meets weekly to engage in confidential team staffings. These teams include the judge, the District Attorney, attorney or advocate for the participant, attorney or advocate for the child(ren), CPS professionals, treatment providers, and dependent upon the participant, child(ren), and family needs, domestic violence program representatives, probation officers, and juvenile justice staff. During these staffings, team members openly discuss participants' behaviors from their different perspectives. In addition to these scheduled staffings, emergency staffings take place on an as-needed basis.

Rock County Family Recovery Court Program

In Rock County, the Family Recovery Court Coordinator, the CPS professional, and the Comprehensive Community Services (CCS) case manager engage in weekly staffings with all treatment providers and other service providers, in a setting outside of the court context, to monitor the participants' compliance with obligations under the program. Information between the family treatment court team and all providers is shared freely so as to ensure all appropriate services and responses can be delivered comprehensively to each participant, and to ensure services are being provided in a holistic manner.

Additionally, in an effort to integrate services, up to 15 individuals are permitted to participate in hearings, including the Family Recovery Court Coordinator, the CPS professional, Corporation Counsel, the guardian ad litem, the participant's attorney, treatment providers and other service providers, probation and parole representatives, and a court appointed special advocate (CASA). These same team members meet prior to hearings to share the most up-to-date information pertaining to each participant, discussing their recovery, barriers, and overall case progress.

Summary of Service Provision

Table 2 displayed below outlines 2023 program participation numbers, as well as number of participants who successfully completed the program, and the number of children who were able to return home to a parent enrolled in these family treatment court programs.

Table 2: 2023 Program Participation

	Dunn County	Rock County
New referrals	14	7
Number of new participants enrolled	8	2
Number of participants who graduated	4	3
Participants still enrolled in the program, working toward successful program completion at the close of the year	18	5
Number of children reunified while parents were enrolled or after they completed program	17	0

**Number of children reunified may not match the number of participants who had children reunified due to some participants having multiple children.*

Reasons for referrals that do not result in enrollment can vary, but often times it is simply due to lack of engagement and willingness to participate in the program. Family Treatment/Recovery Court programs are not mandatory of parents who have substance use disorders; they are completely voluntary. Lower enrollment numbers can also be because a parent was found to not meet the requirements of enrollment.

Also, the factors that explain the difference in participants enrolled, participants who successfully completed the program, and children reunified are:

1. At a minimum, it takes participants one year to complete all phases of the program. Usually, it takes longer due to various factors that impact participants' ability to progress through phases. These factors can include attendance issues, missed drug tests, or relapses.
2. Participants are not required to successfully complete their Family Treatment Court program prior to reunification of their children.

The participant numbers outlined in Table 3 differ greatly, as these two counties differ in population. Dunn County is in the northwestern region of the state and has a smaller total population than Rock County, with the county seat being Menomonie. Rock County is in the southern region of the state, bordering Illinois. Located within Rock County is the county seat, Janesville, as well as the city of Beloit. Table 3 outlines the difference in CPS reporting and assessments between Dunn County and Rock County in 2023.

Table 3: CPS Reports & Assessments

	Dunn County	Rock County
Total CPS Reports (Screened-Out and Screened-in)	668	2,667
Total CPS Reports Screened-In	125	503
Total Screened-In CPS Allegations Alleging Alcohol and/or Drug Use/Exposure	44	134

Program Requirements

Both programs utilize differing “phase systems” to guide participants through the program. In order to graduate from the programs, participants must successfully complete every phase. Both Dunn and Rock County’s programs continue to work to improve their phases to better serve their participants, and both programs updated the phase systems they use. The most recently updated phase systems can be found in [Appendix 2](#).

Rock County implemented a “Family Recovery Court Response Guide” that outlines violations during each phase and what the consequences for these violations would be. This was implemented to make it clear to participants who violated program requirements what the consequences were and provided consistency in how violations were handled. This document can be found in [Appendix 3](#). The prior phases used by both programs can be found in Appendix 4.

Participant Data Summary

Both programs continue to learn and innovate their program’s requirements to increase the number of participants who successfully complete all phases of the program. During the 2023 period, programs continued to experience both challenges and successes.

Dunn County Family Treatment Court

Dunn County Family Treatment Court saw a decrease in the number of program referrals received in 2023, from 22 to 14 referrals. The program also saw a decrease in participant enrollment, from 11 to 8 enrollees. In 2023, the number of participants who were still enrolled and working towards successful completion of the program at the close of the year continued to increase, from 14 to 18 participants as outlined in Figure 1.

Figure 1: Dunn County Participant Numbers: 2019 - 2023

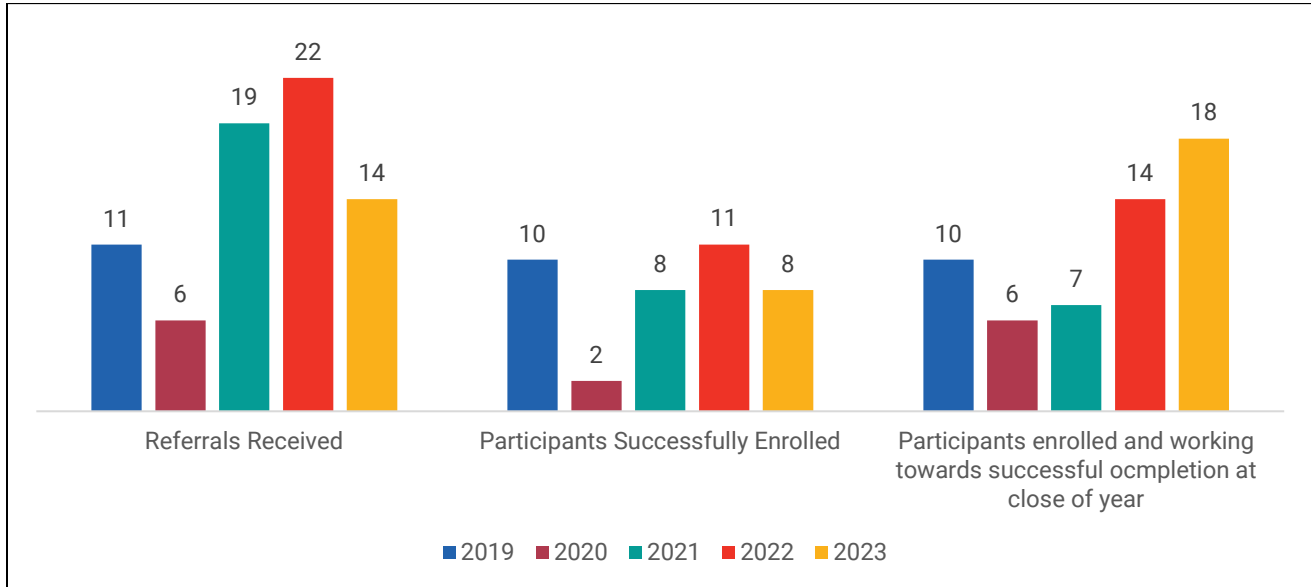


Table 5 outlines the total number of CPS reports, total number of screened-in reports with allegations related to substance use, abuse, and/or exposure, and the number of those related to substance misuse and exposure that were referred to the program. The total number of CPS reports received by Dunn County increased in 2023.

Table 5: Reports, Screen-Ins, & Referrals

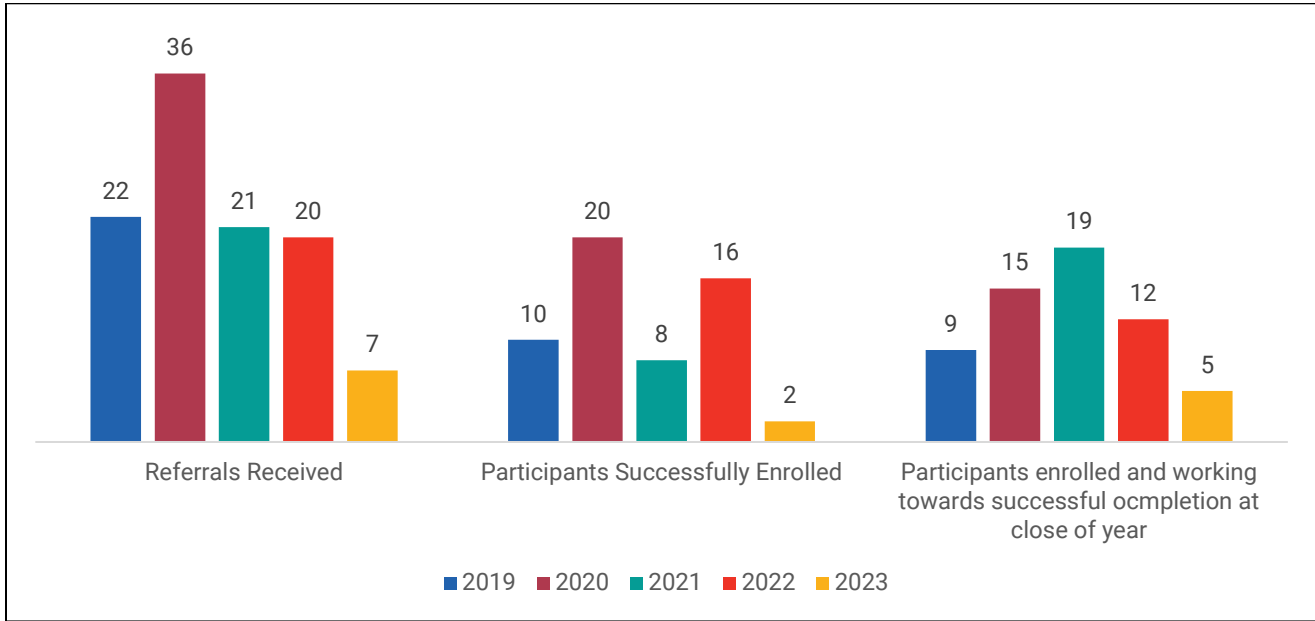
Year	Total CPS Reports	Total Screened-In CPS Reports with Substance Misuse	Total FTC Referrals
2019	645	17	11
2020	568	11	6
2021	692	45	19
2022	588	55	22
2023	668	44	14

In 2023, Dunn County Family Treatment Court experienced participant successes as well. Seventeen children were able to be reunified to the home of a parent who was either currently enrolled in the program or had successfully completed all phases of the program. Four participants also successfully completed all phases of the program in 2023.

Rock County Family Recovery Court

Rock County Family Recovery Court Program saw a significant decrease in the number referrals received from 20 to only 7, with only two new participants enrolling in the program. The program saw a decrease in the number of participants who continued to work towards successful completion of the program at the close of 2023. This change is due to the combined factors of having several graduates from the program, in addition to low enrollment.

Figure 2: Rock County Participant Numbers: 2019 – 2023



This program had three participants who successfully completed all phases of the program in 2023, the same number that graduated in 2022. No children were able to be reunified to the home of a parent who was enrolled in Rock County Family Recovery Court in 2023.

Table 6 outlines the total number of CPS reports, total number of screened-in reports with allegations related to substance use, abuse, and/or exposure, and the number of those related to substance misuse and exposure that were referred to the program. There was a decrease in the number of total CPS Reports in Rock County in 2023.

Table 6: Reports, Screen-Ins, & Referrals

Year	Total CPS Reports	Total Screened-In CPS Reports with Substance Misuse	Total FRC Referrals
2019	3,711	322	12
2020	2,896	255	36
2021	3,107	271	21
2022	2,974	209	20
2023	2,667	134	7

Annual Report Summary

The 2023 period was both a successful and challenging year for both programs. Staffing and leadership transitions continued to pose the greatest challenges during this reporting period, especially in Rock County. Although Rock County was able to hire a new program coordinator and fill other vacancies within the program, admissions to the Rock County FRC were on hold for much of 2023 due to staffing shortages in behavioral health.

DCF continues to provide technical assistance to the programs on at least a quarterly basis. During these calls, programs are also able to ask questions of their counterparts and learn from each other's

programs to better serve their county's FTC/FRC participants. Professionals in both counties are exploring ways to adapt their programs in order to increase the success of their participants in both the short and long-term.

Both Rock and Dunn Counties received a grant through the Office of Juvenile Justice and Delinquency Prevention to continue and build their FTC/FRC programs. As part of this funding, both agencies began working with Children and Family Futures for technical assistance in improving their programs. Children and Family Futures works with Family Treatment Court programs across the Wisconsin and the United States, including ongoing work with the Children's Court Improvement Project to create Family Treatment Court Standards for Wisconsin.

DCF will also continue to collect information from the participating county agencies on a quarterly basis over the remaining contract renewal period. This information will include the respective programs' establishment and operations including program aspects related to program eligibility, service provision (including the use of standardized assessment tools and trauma-informed approaches used by the local agency staff, service providers and courts), program oversight representation and responsibilities, and family treatment court service utilization and outcomes.

Appendix 1: Oversight Committee Members

Dunn County Family Treatment Court Program's Oversight Committee Members:

Name	Role/Title
Honorable Christina Mayer	Dunn County Circuit Court Judge
Andrew Maki	Dunn County Assistant District Attorney
Paula Winter	Dunn County Human Services Director
Lori Radcliffe	Dunn County Family and Children's Unit Manager
Sara Benedict	Dunn County Criminal Justice Coordinator
Melisa Berg	Dunn County Family Treatment Court Coordinator
Kayla Johnson	Dunn County Child Protective Services Case Worker
Lauren Derleth	Dunn County Child Protective Services Case Worker
Jennie Haviland	Licensed Clinical Therapist – Dunn County Behavioral Health
Tonny Strong	Clinical Substance Abuse Counselor – Arbor Place
Hope Remus	Certified Peer Support – Milkweed Connections

Rock County Family Treatment Court Program's Oversight Committee Members:

Name	Role/Title
Honorable Michael Haakenson	Rock County Circuit Court Judge Branch 5
Honorable Allen Bates	Retired Judge and Community Member
Rich Greenlee	Rock County Corporation Counsel
Kate Luster	Rock County Human Services Director
Tera O'Connor	Rock County Human Services Deputy Director
Ryan Trautsch	Rock County Human Services Children, Youth, and Families Program Manager
Sandy Johnson	Rock County Court Appointed Special Advocate Director
Jenna Singer	Rock County Human Services Comprehensive Community Services Program Manager
Chris Schoben	Professional Services Group, Vice President
Ben Searls	Wisconsin Department of Corrections Supervisor
Sean Mangold	Recovery Coach
Kelsey M. Hood-Christenson	Beloit Domestic Violence Survivor Center Staff Member
Faun Moses	Wisconsin State Public Defender Manager
Josh Grube	Private Attorney

Appendix 2: Program Participant Criteria

Dunn County	Rock County
<ul style="list-style-type: none"> • Be participating voluntarily. • Be 18 years of age or older. • Have a family being served in child protective services in Dunn County. • Be using either methamphetamine, opioids and/or alcohol. • Be a parent. • Have a trauma history and be experiencing additional significant need in more than one of the following areas: <ul style="list-style-type: none"> ○ Housing ○ Child(ren) in out-of-home care, or at imminent risk of being placed in out-of-home care ○ Employment ○ Child(ren) with special needs ○ Domestic violence ○ Mental health ○ Childcare ○ Transportation 	<ul style="list-style-type: none"> • Be 18 years of age or older. • Be a resident of Rock County. • Be willing to sign a Consent to Release Confidential Information Form so that the team may share information with other team members and outside community providers. • Not be substantiated for child sexual abuse. • Referral to FRC received no later than 6 months from the date of disposition, unless waitlisted prior. • Be clinically diagnosed with a substance use disorder at an Intensive Outpatient (IOP) level of care. • Must voluntarily agree to abide by all FRC rules, including possible modifications which will be communicated before implementation. • Cannot be enrolled in other treatment courts in Rock Co. • If temporary physical custody was taken of children, this must have occurred on or after August 1, 2019.

Appendix 3: Rock Recovery Court Response Guide

Milestone One: Orientation	Verbal warning	Missing appointments, drug tests, court, visits, etc.; dishonesty; tampering with drug tests; incomplete responses or assignments
	Reset sober date	
	No fishbowl	
	Written assignment	
	Behavior-focused homework	
	Milestone advancement delayed	Consistently missing appointments, drug tests, court, visits, etc.; ongoing tampering with drug tests; disregarding court orders; unsafe/inappropriate behaviors
	Team roundtable meeting	
	Additional treatment/service referrals	
	Behavior contract	Minimal/no engagement; not attending drug tests for prolonged timeframe; continued dishonesty despite intervention; consistent tampering with drug tests; continuous unsafe behaviors despite intervention
	Day reporting (>1 week)	
	Final warning	
Program discharge		
Milestone Two: Orientation	Verbal warning	Infrequent missed appointments, drug tests, court, visits, etc.; infrequent incomplete responses or assignments; occasional recurrence of use
	Reset sober date	
	No fishbowl	
	Written assignment	
	Behavior-focused homework	
	Create recurrence prevention plan	
	Milestone advancement delayed	Consistently missing appointments, drug tests, court, visits, etc.; ongoing tampering with drug tests; continued recurrence of use; frequent incomplete responses or assignments; unsafe or inappropriate behavior; not actively changing people, places, and things; dishonesty
	Team roundtable meeting	
	Additional treatment/service referrals	
	Activity logs (1+ week)	
	Increased court appearances	
	Begin pro-social attendance	Unsuccessful discharge from recommended treatment; continued missed appointments, use, dishonesty, unsafe/inappropriate behaviors despite intervention
	Behavior contract	
Day reporting (>1 week)		
Final warning		
Program discharge		
Milestone Three: Strengthening Myself and My Family	Verbal warning	Infrequent missed appointments, drug tests, court, groups, visits, etc.; other infrequent minor FRC/rule violations
	Reset sober date	
	No fishbowl	
	Written or other assignment	
	Milestone advancement delayed	Continued missing appointments, drug tests, court, groups, visits, etc.; recurrence of use; infrequent incomplete responses or assignments; not actively changing people, places, and things; infrequent dishonesty
	Team roundtable meeting	
	Additional treatment/service referrals	
	Activity logs (1+ week)	
	Increased court appearances	
	Increased pro-social attendance	

	Modify recurrence prevention plan	
	Behavior contract	Unsuccessful discharge from recommended treatment; continued missed appointments, use, dishonesty, unsafe/inappropriate behaviors despite intervention
	Day reporting (>1 week)	
	Community Service	
	Final warning	
Program discharge		

Milestone Four: Building My Recovery Community	Verbal warning	Infrequent missed appointments, drug tests, court, groups, visits, etc.; other infrequent minor program/rule violations
	Reset sober date	
	No fishbowl	
	Team Roundtable Meeting	Continued missing appointments, drug tests, court, groups, visits, etc.; recurrence of use; incomplete responses or assignments; return to people, places, and things related to addictive lifestyle; infrequent dishonesty
	Additional treatment/service referrals	
	Increased court appearances	
	Increased pro-social attendance	
	Modify recurrence prevention plan	
	Create "Road to Recovery" (birth to now)	Unsuccessful discharge from recommended treatment; disregarding court orders; minimal or no engagement; continued use, dishonesty, drug test tampering, unsafe/inappropriate behaviors despite intervention
	Behavior contract	
	Delayed graduation	
	Community Service	
	Final warning	
Program discharge		

Rock County Family Recovery Court Final Warning

The FRC team will consider the following indicators of a participant's compliance:

- Consistency of participants attendance at treatment groups, meetings, and other services indicated in their treatment plan.
- Completion of drug tests, including the number of positive, negative, and missed tests.
- Treatment provider's assessment of participant's commitment to working on their recovery.
- Participation and engagement in visitation and other services identified in the participant's return conditions.
- The effect of FRC responses already imposed on participant.

The final warning is set for 30 days; the participant is expected to make progress towards full compliance with the FRC. If sufficient progress is not made within this timeframe, the participant may be terminated from FRC. At the end of the 30 days, the team will review the status of the participant. IF they have made some progress but are not in full compliance, any team member may request a continuance of the final warning and the team will assess whether or not to grant the continuance. The participant will be required to write a letter to the team indicating what has changed and their plans to re-engage with FRC. The letter must be submitted to the team the week prior to the status review to allow time for the team to review it.

Family Recovery Court Violations

Violation	Behavior
Lack of consistent attendance in treatment	Failure to provide proof of emergency, doctor note, or other documentation for missed group or individual appointment
	Failure to attend group or individual appointment
	Failure to start or take steps to begin treatment
Discharge from recommended treatment	Leaving treatment against recommendations
	Being terminated from the treatment due to treatment rule violations
Recurrence or continued use	Positive drug screen
	Continued use with no sober time
	Using non-approved substances
	Failure to report prescribed medications aside from those prescribed by program prescriber.
Non-compliance with drug testing	No show for ordered testing
	Unable to provide sample
	Provide a dilute sample or other attempts to tamper with sample
	Refusal to provide sample
Lack of consistent attendance in pro-social activities	Failure to turn in verification
	Failure to attend pro-social activities
	Failure to obtain a sponsor, mentor, or peer support
	Continued engagement with non-recovery-oriented people, places, and things
Contempt of court	Failure to attend court
	Failure to provide proof of emergency, doctor note, or other documentation for missed court
	Disorderly or disruptive behaviors toward the Judge
	Refusal to comply with given responses or orders
Other non-compliance	Consistent pattern of late arrival to court, treatment, visits, other appointments, etc.
	General lack of communication with team
	Unsafe, inappropriate, disruptive, and/or disrespectful behavior
	Failure to follow through with services or referrals
	Failure to keep team up to date on contact information and home address
Dishonesty	Lies of commission (making excuses, minimizing behaviors or use, etc.)
	Lies of omission (leaving out important information, not reporting use or other issues until confronted by team, etc.)

Appendix 4: Rock and Dunn Counties Family Treatment Court Phases

Dunn County Family Treatment Court Program utilizes a system that involves an intake period followed by five phases, and finally, graduation. Upon completion of all phases, participants graduate from the program.

Phase I (45 Days)	
Components	Advancement Criteria
<ul style="list-style-type: none"> • Court: Bi-weekly • Drug testing at DTMW and/or other designated testing facility • Check in with FTC Coordinator (FTCC) weekly • Meeting with the Child Protection Worker (CPS) as requested • Regular use of planner • Referral to complete any clinical assessments and further evaluations as deemed appropriate by the Treatment Team (MH) • Develop initial FTC case plan • Wellness Slips: Attend one activity a week and provide documentation to FTC Coordinator <ul style="list-style-type: none"> ○ Treatment activity (AA, NA, CMA, SMART Recovery, MRT, etc.) ○ Wellness activity (exercise classes, meditation, gym, walk/run, etc.) • Pro social activity (church services, support groups, time with mentor/sponsor) • Complete Family Assessment (NCFAS) • Develop ongoing Family Interaction Plan and comply with interaction schedule with children • Schedule and/ or attend a medical and dental appointment for all adults and children in program (if not done within last 6-12 months) • Apply for and/or obtain health insurance • Compliance with probation, parole, bond conditions, and/or civil restraining orders if applicable • Create a Recovery Toolbox (optional) • Mental health screeners • Wellness Slips: Attend one activity a week and provide documentation to FTC Coordinator 	<ul style="list-style-type: none"> • 14 days' consecutive sobriety • No missed drug tests at DTMW and/or other designated testing facility • No missed FTC hearings • No missed check-ins with FTCC/CPS worker • No missed treatment appointments • No missed visits with children • Complete Relapse Prevention plan with FTCC • Complete Substance Use assessment • If they miss any of above within the 45 days, and the reason was not allowed by the team they need to restart the 45 days over. • Using planner for organization and to map out all responsibilities, appointments, work schedules, visits, and self-help attendance. • Bring planner to court on a regular basis.

<ul style="list-style-type: none"> • Treatment activity (AA, NA, CMA, SMART Recovery, MRT, etc.) • Wellness activity (exercise classes, meditation, gym, walk/run, etc.) • Pro social activity (church services, support groups, time with mentor/sponsor, attendance to FTC on non-required date) 	
Phase II (60 days)	
Components	Advancement Criteria
<ul style="list-style-type: none"> • Court: Bi-weekly • Drug testing at DTMW and/or other designated testing facility • Check in with FTC Coordinator weekly • Meeting with the Child Protection Worker as requested • Regular use of planner • Attend visits with children (if out of home) • Follow through with all treatment as recommended • Wellness Slips: Attend one activity a week and provide documentation to FTC Coordinator <ul style="list-style-type: none"> ○ Treatment activity (AA, NA, CMA, SMART Recovery, MRT, etc.) ○ Wellness activity (exercise classes, meditation, gym, walk/run, etc.) ○ Pro social activity (church services, support groups, time with mentor/sponsor, attendance to FTC on non-required date.) • Review FTC case plan • Review Family Interaction Plan • Attend and follow through with medical and dental recommendations • Develop a plan for secure safe/stable housing • Search for gainful employment/ establish a legal income source • Begin search for childcare providers (as needed) • Develop a plan to obtain driver's license/reliable transportation (as needed) • Secure sponsor/mentor/peer support • Explore/identify parent education as recommended 	<ul style="list-style-type: none"> • 30 days' consecutive sobriety • No missed drug tests at DTMW and/or other designated testing facility • No missed FTC Hearings • No missed check-ins with FTCC/CPS worker • No missed treatment appointment • No missed visits with children • No missed Wellness Slips • Bring planner to court on a regular basis. • Using planner for organization and to map out all responsibilities, appointments, work schedules, visits, and self-help attendance. • Review and possibly revise relapse prevention plan • Has created a recovery toolbox • Obtain sponsor/mentor' • Follow through with the mental health/AODA treatment recommendations. • Provide wellness slips on a regular basis

<ul style="list-style-type: none"> • Compliance with probation, parole, bond conditions, and/or civil restraining orders if applicable • Review Recovery Toolbox (optional) • Discussion of peer support • Creation of the recovery toolbox • Search for gainful employment/ utilize Workforce Resources/DVR 	
Phase III (60 days)	
Components	Advancement Criteria
<ul style="list-style-type: none"> • Court: Bi-weekly • Drug testing at DTMW and/or other designated testing facility • Meet with FTC Coordinator bi-weekly • Meeting with the Child Protection Worker as requested • Regular use of planner • Attend visits with children (if out of home) • Follow through with treatment as recommended • Wellness Slips: Attend two activities a week and provide documentation to FTC Coordinator- One wellness slip must be a treatment activity a week. <ul style="list-style-type: none"> ○ Treatment activity (AA, NA, CMA, SMART Recovery, MRT, etc.) One treatment activity must be in person. ○ Wellness activity (exercise classes, meditation, gym, walk/run, etc.) ○ Pro social activity (church services, support groups, time with mentor/sponsor, attendance to FTC on non-required date.) • Review FTC case plan • Review Family Interaction Plan • Review relapse prevention plan • Achieve/ maintain safe/stable housing • Achieve or maintain gainful employment legal income source • Execute steps needed to obtain driver's license/reliable transportation (as needed) • Development of sober support network and relapse prevention plan staffed with team • Follow through with parent education as recommended 	<ul style="list-style-type: none"> • 45 days' consecutive sobriety • No missed drug tests at DTMW and/or other designated testing facility • No missed FTC Hearings • No missed check-ins FTCC/CPS worker • No missed AODA/MH treatment appointments • No missed visits with children • No missed Wellness Slips • Share Relapse Prevention Plan with team (to include sober support network) • Review and possibly revise relapse prevention plan • Using planner for organization and to map out all responsibilities, appointments, work schedules, visits, and self-help attendance. • Bring planner to court on a regular basis. • Progress in recommended AODA treatment. • Secure employment and/or income source • Present recovery toolbox • Share the relapse prevention plan/ include sober support network. Answer the application question. • Regular meetings with a sponsor/mentor

<ul style="list-style-type: none"> • Participate in education on budgeting and financial management • Compliance with probation, parole, bond conditions, and/or civil restraining orders if applicable • Review Recovery Toolbox 	
Phase IV / Maintenance (Minimum 90 days)	
Components	Advancement Criteria
<ul style="list-style-type: none"> • Court: Monthly • Drug testing at DTMW and/or other designated testing facility • Meet with FTC Coordinator monthly • Meet with Child Protection worker as requested • Regular use of planner • Attend visits with children (if out of home) • Follow through with AODA/ MH treatment as recommended • Wellness Slips: Attend three activities a week and provide documentation to FTC Coordinator. Two wellness slip must be a treatment activity a week. <ul style="list-style-type: none"> ○ Treatment activity (AA, NA, CMA, SMART Recovery, MRT, etc.) Two activities must be in person. ○ Wellness activity (exercise classes, meditation, gym, walk/run, etc.) ○ Pro social activity (church services, support groups, time with mentor/sponsor, attendance to FTC on non-required date.) • Review FTC case plan • Review relapse prevention plan • Review Family Interaction Plan with social worker • Obtain childcare provider plan in place (as needed) • Achieve/maintain safe/stable housing • Achieve/maintain legal income source • Follow through with parent education as recommended • Participation in parent education as recommended. • Compliance with probation, parole, bond conditions, and/or civil restraining orders if applicable • Regular meetings with a sponsor/mentor • Maintain Recovery Toolbox 	<ul style="list-style-type: none"> • 60 days' consecutive sobriety • No missed drug tests at DTMW and/or other designated testing facility • No missed FTC Hearings • No missed check-ins with FTCC/CPS worker • No missed AODA/MH treatment appointments • No missed visits with children • No missed Wellness Slips • Must be at an unsupervised level of visitation if children are out of home placement. • Achieve/ maintain safe/stable housing • Maintain gainful employment • Regular meetings with a sponsor/mentor • Review and possibly revise relapse prevention plan • Using planner for organization and to map out all responsibilities, appointments, work schedules, visits, and self-help attendance. • Bring planner to court. • Progress made in AODA treatment • Progress made in MH treatment • Present recovery toolbox on day of advancement • Regular meetings with sponsor/mentor

Phase V / Continuing Care (Minimum 120 days)	
Components	Advancement Criteria
<ul style="list-style-type: none"> • Court: Monthly • Drug testing at DTMW and/or other designated testing facility • Meet with FTC Coordinator monthly • Meet with Child Protection worker as requested • Regular use of planner • Attend visits with children (if out of home) • Follow through with AODA/MH treatment as recommended • Wellness Slips: Attend three activities a week and provide documentation to FTC Coordinator. Two wellness slip must be a treatment activity a week <ul style="list-style-type: none"> ○ Treatment activity (AA, NA, CMA, SMART Recovery, MRT, etc.) Two activities must be in person. ○ Wellness activity (exercise classes, meditation, gym, walk/run, etc.) ○ Pro social activity (church services, support groups, time with mentor/sponsor, attendance to FTC on non-required date.) • Review FTC case plan • Review relapse prevention plan • Review Family Interaction Plan with social worker • Maintain safe/stable housing • Maintain gainful employment/ legal income source • Complete parent education as recommended • Compliance with probation, parole, bond conditions, and/or civil restraining orders if applicable • Review Recovery Toolbox • Regular meeting with sponsor/mentor 	<ul style="list-style-type: none"> • 90 days' consecutive sobriety • No missed drug tests at DTMW and/or other designated testing facility • No missed FTC Hearings • No missed check-ins with FTCC/CPS worker • No missed treatment appointments • No missed visits with children • No missed Wellness Slips • Revisit relapse prevention plan and share with the team • Child(ren) have been reunified • Using planner for organization and to map out all responsibilities, appointments, work schedules, visits, and self-help attendance. • Bring planner to court on a regular basis. • Present recovery toolbox • Regular meeting with sponsor/mentor • Progress in AODA treatment • Progress in MH treatment • Share the relapse prevention plan/ include sober support network. Answer the application question. • Use planner and bring planner to court • Complete all necessary graduation paperwork

Rock County Family Recovery Court Program

Rock County Family Recovery Court also utilizes a similar phase system. Their system involves four phases that must be successfully completed prior to graduating the program.

Rock County's Phase System

Milestone One: Orientation (minimum 30 Days)	
Goal: Create daily routine and increase structure in everyday life. Increase organizational skills.	
In Home Tasks	Out of Home Tasks
<ul style="list-style-type: none"> • Treatment Assessment completed: • Treatment Plan developed: • Complete Recovery Capital Assessment • Start completing urine drug screens at Averhealth • Achieve seven (7) consecutive sober days from start of Milestone One • Recurrence Prevention Plan & Recurrence Family Safety Plan developed • Consistent weekly contact with team • Attend Family Recovery Court for four consecutive weeks <ul style="list-style-type: none"> ○ *Exceptions may be granted and the discretion of the team. • Complete journaling assignments each week, due the Friday before next scheduled court appearance. 	<ul style="list-style-type: none"> • Treatment Assessment completed: • Treatment Plan developed: • Complete Recovery Capital Assessment • Start completing urine drug screens at Averhealth • Achieve seven (7) consecutive sober days from start of Milestone One • Family Interaction schedule developed with Child Protective Services (CPS) Ongoing Case Manager • Consistent weekly contact with team • Attend Family Recovery Court for four consecutive weeks <ul style="list-style-type: none"> ○ *Exceptions may be granted and the discretion of the team. • Complete journaling assignments each week, due the Friday before next scheduled court appearance.
Milestone 2: Build A Strong Foundation (minimum 60 days)	
Goal: Develop self-awareness of how your substance use impacts your family. Identify your triggers. Strengthen parental protective capacities and add more unsupervised time with your child(ren).	
In Home Tasks	Out of Home Tasks
<ul style="list-style-type: none"> • Attend treatment groups as recommended by your therapist. • Demonstrate increase in recovery capital • Develop a plan for participating in pro-social activities. You can attend family-based pro-socials with your child(ren) or recovery based pro-socials to support you in your journey to sobriety. • Provide negative urine drug screens for 30 consecutive days from the start of Milestone Two • Update Recurrence Family Safety Plan & engage in daily living activities with child(ren) 	<ul style="list-style-type: none"> • Attend treatment groups as recommended by your therapist. • Demonstrate increase in recovery capital • Develop Recurrence Prevention Plan • Develop Recurrence Family Safety Plan (RFSP) with treatment team and CPS: • Develop a plan for participating in pro-social activities. You can attend family-based pro-socials with your child(ren) or recovery based pro-socials to support you in your journey to sobriety. • Provide negative urine drug screens for 30 consecutive days from the start of Milestone Two



<ul style="list-style-type: none"> • Developed parenting services with CPS case manager and develop a plan. • Attend Family Recovery Court bi-weekly for eight consecutive weeks <ul style="list-style-type: none"> ○ *Exceptions may be granted and the discretion of the team. • Complete journaling assignments each week, due the Friday before next scheduled court appearance. 	<ul style="list-style-type: none"> • Attend all scheduled visits with my child(ren). Be ready for increased time and decreased Department supervision! • Develop parenting plan and services with CPS Ongoing Case Manager • Attend Family Recovery Court bi-weekly for eight consecutive weeks <ul style="list-style-type: none"> ○ *Exceptions may be granted and the discretion of the team. • Complete journaling assignments each week, due the Friday before next scheduled court appearance.
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Milestone 3: Strengthen Myself & My Family (minimum 90 days)

Goal: Develop a sober community of support. Strengthen parental protective capacities and decrease level of Department supervision and number of safety check-ins. Develop a routine with your family.

In Home Tasks	Out of Home Tasks
<ul style="list-style-type: none"> • Attend treatment groups as recommended by your therapist. • Demonstrate increase in recovery capital • Engage in family and recovery pro-social activities three times per week • Provide negative urine drug screens for 60 consecutive days from the start of Milestone Three • Engage in child(ren)'s educational and medical related appointments/tasks • Recurrence Prevention Plan and Family Safety Plan has been updated with treatment team and CPS • Attend Family Recovery Court every three weeks for 12 consecutive weeks <ul style="list-style-type: none"> ○ *Exceptions may be granted and the discretion of the team. • Complete journaling assignments each week, due the Friday before next scheduled court appearance. 	<ul style="list-style-type: none"> • Attend treatment groups as recommended by your therapist. • Demonstrate increase in recovery capital • Update Recurrence Prevention Plan • Update Recurrence Family Safety Plan (RFSP) with treatment team and CPS • Engage in family and recovery pro-social activities three times per week: • Provide negative urine drug screens for 60 consecutive days from the start of Milestone Three: • Attend all scheduled visits with my child(ren). Be ready for fully unsupervised time with periodic check-ins. • Continue to engage in parenting plan developed with CPS Ongoing Case Manager • Attend Family Recovery Court every three weeks for 12 consecutive weeks <ul style="list-style-type: none"> ○ *Exceptions may be granted and the discretion of the team. • Complete journaling assignments each week, due the Friday before next scheduled court appearance.



Milestone Four: Building My Recovery Community (minimum 120 days)	
Goals: Consistent decrease in safety check-ins. Build healthy relationships and positive community connections.	
In Home Tasks	Out of Home Tasks
<ul style="list-style-type: none"> • Attend treatment groups as recommended by your therapist. • Maintain increase in recovery capital • Update Recurrence Family Safety Plan (RFSP) with treatment team and CPS • Provide negative urine drug screens for 90 consecutive days from the start of Milestone Three • PSG Safety check-ins have decreased to 2 per week or less and maintain family stability and routine • Develop After-Care plan with my treatment team and share with CPS • Attend Family Recovery Court every four weeks for 16 consecutive weeks <ul style="list-style-type: none"> ○ *Exceptions may be granted and the discretion of the team. • Complete journaling assignments each week, due the Friday before next scheduled court appearance. 	<ul style="list-style-type: none"> • Attend treatment groups as recommended by your therapist. • Maintain increase in recovery capital • Update Recurrence Prevention Plan • Update Recurrence Family Safety Plan (RFSP) with treatment team and CPS • Engage in family and recovery pro-social activities three times per week • Provide negative urine drug screens for 90 consecutive days from the start of Milestone Three • My children are home. Professional Services Group (PSG) is completing safety checks twice per week or less. • Develop After-Care plan with my treatment team and share with CPS • Attend Family Recovery Court every four weeks for 16 consecutive weeks <ul style="list-style-type: none"> ○ *Exceptions may be granted and the discretion of the team. • Complete journaling assignments each week, due the Friday before next scheduled court appearance.
Milestone Five: Supporting My Peers in Recovery	
Goals: Support peers in recovery.	
In Home Tasks	Out of Home Tasks
<ul style="list-style-type: none"> • Attending weekly Family Recovery Court (FRC) hearings: Join the current participants in court each week, or as often as you would like. Be a supportive peer and offer positive feedback during the hearing. You are by no means required to attend court and your case will not be reviewed during staffing, but this is a great opportunity to provide support to your peers and to maintain a connection to the FRC team. • Supporting Peers: As a recent graduate and continued participant in Milestone 5, you will have the opportunity to connect with current FRC participants and provide 	<ul style="list-style-type: none"> • Attending weekly Family Recovery Court (FRC) hearings: Join the current participants in court each week, or as often as you would like. Be a supportive peer and offer positive feedback during the hearing. You are by no means required to attend court and your case will not be reviewed during staffing, but this is a great opportunity to provide support to your peers and to maintain a connection to the FRC team. • Supporting Peers: As a recent graduate and continued participant in Milestone 5, you will have the opportunity to connect with current FRC participants and



<p>ongoing support as they progress in the program, in their recovery, and in their Child Protective Services (CPS) case. There will be opportunity to return to groups and events to share your story!</p> <ul style="list-style-type: none">• Duration: Milestone Five does not have a time minimum or limit. You are able to continue your participation in Milestone Five even after your CPS case closes to continue providing support to participants and even receiving support from the team.• Incentives in Milestone 5: You will be able to attend all FRC Family Events hosted by the team. This is a great way to continue engagement with the team and supporting your peers. You will be eligible for receiving gas cards to assist in getting to and from court or appointments with your peers.	<p>provide ongoing support as they progress in the program, in their recovery, and in their Child Protective Services (CPS) case. There will be opportunity to return to groups and events to share your story!</p> <ul style="list-style-type: none">• Duration: Milestone Five does not have a time minimum or limit. You are able to continue your participation in Milestone Five even after your CPS case closes to continue providing support to participants and even receiving support from the team.• Incentives in Milestone 5: You will be able to attend all FRC Family Events hosted by the team. This is a great way to continue engagement with the team and supporting your peers. You will be eligible for receiving gas cards to assist in getting to and from court or appointments with your peers.
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Appendix 5: Dunn and Rock County CPS Reporting and Screening Data

CPS Reports - Screen-In & Screened-Out							
2021 Access Reports				2022 Access Reports			
County	Screen-In	Screen-Out	Total	County	Screen-In	Screen-Out	Total
Dunn	150	546	696	Dunn	148	440	588
Rock	1,061	2,076	3,137	Rock	802	2,172	2,974
Total	1,208	2,621	3,829	Total	950	2,612	3,562

2023 Access Reports			
County	Screen-In	Screen-Out	Total
Dunn	125	543	668
Rock	503	2,164	2,667
Total	628	2,707	3,335

Alcohol & Drug Abuse & Exposure to Controlled Substances									
Screened-In Reports									
County	2021				County	2022			
	Caregiver Alcohol Abuse	Caregiver Drug Abuse	Exp. To Controlled Subs.	Total		Caregiver Alcohol Abuse	Caregiver Drug Abuse	Exp. To Controlled Subs.	Total Reports*
Dunn	9	20	17	36	Dunn	13	28	15	44
Rock	74	164	64	257	Rock	54	127	51	185
Total	83	184	81	293	Total	67	155	66	229
Screened-Out Reports									
County	2021				County	2022			
	Caregiver Alcohol Abuse	Caregiver Drug Abuse	Exp. To Controlled Subs.	Total		Caregiver Alcohol Abuse	Caregiver Drug Abuse	Exp. To Controlled Subs.	Total Reports*
Dunn	26	33	11	63	Dunn	38	47	17	88
Rock	63	162	33	225	Rock	69	194	40	265
Total	89	195	44	288	Total	107	241	57	353

*Because some reports include a combination of caregiver alcohol abuse, caregiver drug abuse, and exposure to controlled substances, Total Reports will appear smaller than simply adding the number of reports in each row.



Screened-In Reports				
2023				
County	Caregiver Alcohol Abuse	Caregiver Drug Abuse	Exp. To Controlled Subs.	Total Reports*
Dunn	10	23	19	42
Rock	44	84	39	133
Total	54	107	58	175
Screened-Out Reports				
2023				
County	Caregiver Alcohol Abuse	Caregiver Drug Abuse	Exp. To Controlled Subs.	Total Reports*
Dunn	38	45	38	96
Rock	53	155	40	221
Total	91	200	78	317

*Because some reports include a combination of caregiver alcohol abuse, caregiver drug abuse, and exposure to controlled substances, Total Reports will appear smaller than simply adding the number of reports in each row.

Initial Assessments			
County	2021	2022	2023
Dunn	144	152	117
Rock	1,028	867	516
Total	1,172	1,019	633